

Document: EB 2017/LOT/G.6
Date: 5 October 2017
Distribution: Public
Original: English

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Investing in rural people

President's Report on a Proposed Grant under the Global/Regional Grants Window to Finance the Indigenous Peoples Assistance Facility 2017-2020

Note to Executive Board representatives

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For: Approval

Recommendation for approval

The Executive Board is invited to approve the recommendation for the proposed grant as contained in paragraph 23.

President's Report on a Proposed Grant under the Global/Regional Grants Window to Finance the Indigenous Peoples Assistance Facility 2017-2020

I. Background and compliance with IFAD Policy on Grant Financing

1. There are more than 370 million self-identified indigenous people in the world, living in at least 70 countries.
2. Although in many countries indigenous peoples actively participate in development processes, achieving impact on improving their well-being remains a challenge. Indigenous peoples continue facing violations of their rights, culture and identity.
3. As IFAD's partnership with indigenous peoples has evolved over the past 10 years, the Fund has taken steps to facilitate their control of their own development efforts. IFAD's leading role in this area is widely recognized at the international level by indigenous peoples and the United Nations family. In this context, the Indigenous Peoples Assistance Facility (IPAF) is a dedicated instrument established at IFAD in 2006 to finance small projects designed and implemented by indigenous peoples' communities and their organizations – through a competitive process involving the full and effective participation of indigenous peoples. It is one of the instruments in realizing the IFAD Policy on Engagement with Indigenous Peoples (2009).
4. Since 2007, as a result of four calls for proposals (2007, 2008, 2011 and 2015), the IPAF has supported 127 projects in 45 countries for a total amount of about US\$3.6 million. These small projects have contributed to improving the livelihoods of indigenous peoples' communities.
5. In the 2015 evaluation synthesis on IFAD's Engagement with Indigenous Peoples, the Independent Office of Evaluation of IFAD (IOE) emphasized the relevance of support provided through the IPAF in developing partnership with indigenous peoples' organizations and contributing to their empowerment.¹
6. The proposed programme is in line with the goal and objectives of the IFAD Policy on Grant Financing (2015).² It contributes to its objectives in: (i) promoting innovative activities, technologies and approaches developed by and supporting indigenous peoples, one of IFAD's target groups; (ii) promoting awareness, advocacy and policy dialogue on issues of importance to poor rural people, particularly indigenous peoples; (iii) strengthening the capacity of partner institutions to deliver a range of services in support of poor rural people, both the indigenous peoples' organizations that co-manage the IPAF at the regional level and the grass-roots organizations implementing their IPAF-financed small projects; and (iv) promoting dissemination of lessons learned and knowledge and information on rural poverty reduction among stakeholders within and across regions, particularly relating to indigenous peoples.
7. The IPAF is unique in ensuring that indigenous peoples lead their own development strategies at all levels: the board governing the IPAF globally is formed, in the majority, by indigenous people; implementation at the regional level is managed by three indigenous peoples' organizations. At the grass-roots level, indigenous

¹ www.ifad.org/evaluation/reports/evaluation_synthesis/tag

² EB 2015/114/R.2/Rev.1.

peoples' communities and their organizations design and implement their projects based on their priorities and visions.

8. The grant conforms to the policy's strategic criteria: (i) it fully reflects IFAD's strategic objectives; (ii) it is designed to enable IFAD to integrate knowledge emerging from implementation for the purpose of replication and scaling up; and (iii) it promotes partnership with indigenous peoples and their organizations as key players in the rural development arena.
9. The 2017 IPAF cycle focuses on youth and is thus even further in line with the IFAD Strategic Framework 2016-2025 and strategic directions for IFAD grants 2017.

II. The proposed programme

10. The overall goal of the programme is that indigenous peoples drive their own community development. Its objectives are to:
 - (i) Empower indigenous peoples to design and implement their self-driven development initiatives;
 - (ii) Enhance the capacity of regional indigenous peoples' organizations as regional hubs; and
 - (iii) Improve indigenous peoples' access to relevant knowledge.
11. The target group will be composed of indigenous peoples' communities and their organizations living in rural areas in the countries in which IFAD operates in Africa, Asia and the Pacific and Latin America and the Caribbean. Within the target group, a specific focus is on women and youth. Based on previous IPAF cycles, it is estimated that the IPAF will reach 30,000 direct beneficiaries and 135,000 indirect beneficiaries through IPAF grants at the grass-roots level.
12. The programme will be implemented over three years and will have the following components:
 - (i) Component 1. Empowerment of indigenous peoples' grass-roots organizations. The IPAF will finance up to 35 small projects – ranging from US\$20,000 to US\$50,000 – designed and implemented by indigenous peoples' communities and their organizations. The projects will be selected through a competitive process. Component 1 will also cover all activities and costs related to the screening and technical review of submitted proposals and the process for awarding the small grants.
 - (ii) Component 2. Strengthening of indigenous peoples' networks and organizations at the regional level. The IPAF will support the institutional, organizational and management capacities of the three regional partners (the recipients): Fondo Internacional de Mujeres Indígenas (FIMI), Latin America and the Caribbean; Tebtebba Foundation, Asia and the Pacific; and Samburu Women Trust (SWT), Africa. It will increase their capacity to better serve the interests of indigenous peoples, support their development initiatives, orient capacity-building and play a catalytic role in the region and internationally.
 - (iii) Component 3. Knowledge management. The IPAF's function as an instrument for listening to and learning from indigenous peoples' needs, solutions and innovations will be improved under this component. In particular, the knowledge and experience emerging from IPAF-funded projects, and the social and economic changes they produce at national and grass-roots levels, will be captured and systematized to feed policy dialogue and influence pro-poor initiatives among indigenous peoples. The successful and innovative small projects implemented at the grass-roots level will generate scaling up opportunities within and outside IFAD.

III. Expected outputs

13. The programme is expected to have the following outputs:

For objective 1:³

- (i) Up to 35 demand-driven initiatives by indigenous peoples' communities and their organizations, whose projects are selected by the IPAF board and financed and implemented in Africa, Asia and the Pacific and Latin America and the Caribbean;
- (ii) Up to 35 grass-roots organizations build and strengthen their capacity for project management, implementation and evaluation of self-driven development initiatives.

For objective 2:

- (iii) The three regional partners (the recipients) effectively manage financial instruments that support grass-roots initiatives;
- (iv) They improve their institutional and organizational capacities;
- (v) They actively engage in the international arena and contribute to policy dialogue.

For objective 3:

- (vi) Perspectives and proposals embedded in IPAF applications are captured and systematized – for solutions to rural poverty and the well-being of indigenous peoples' communities and their organizations;
- (vii) The knowledge and experience of IPAF-funded projects in Africa, Asia and the Pacific and Latin America and the Caribbean are systematized and shared for learning and knowledge-sharing;
- (viii) Regional and international forums/platforms are influenced by knowledge and experience generated by the IPAF.

IV. Implementation arrangements

14. At the global level, the IPAF is governed, both operationally and strategically, by the IPAF board, composed of four representatives of indigenous peoples' institutions/organizations; one representative of the United Nations Permanent Forum on Indigenous Issues; and one representative of IFAD. The four representatives of indigenous peoples' institutions come one from each of the following regions: Africa, Latin America and the Caribbean, Asia, and the Pacific.
15. The IPAF board provides overall strategic guidance of the facility's focus and approves project proposals submitted to the IPAF, ensuring consistency with selection criteria and equitable regional distribution.
16. At the regional level, the IPAF is administered by the three partners selected as recipients of regional grants: (i) FIMI, (ii) Tebtebba Foundation and (iii) SWT. SWT was selected through a competitive process in 2017, as the previous regional partner in Africa did not perform as expected. FIMI and Tebtebba Foundation have been confirmed as regional partners in Latin America and the Caribbean and Asia and the Pacific on the basis of their good performance in administering the IPAF in the previous cycles (2011 and 2015). These two organizations have strengthened their capacity and have become trusted, efficient partners. They play a catalytic role in their respective regions in enabling grass-roots organizations to implement small projects. In line with paragraph 15 of the grant policy, outlining direct selection of grantees, they are both unique in their normative, policy and representational mandates for the roles they play as indigenous peoples'

³ See paragraph 10.

organizations at a regional level and currently have no competitors in administering the IPAF in their respective regions.

17. The recipients will be fully accountable for grant funding at the regional level in accordance with the grant agreements. In particular, the three organizations will: (i) enter into a grant agreement with IFAD for the purpose of implementing the facility; (ii) support the technical review of proposals submitted to the IPAF; (iii) enter into grant agreements and channel resources to IPAF grantees; (iv) monitor and supervise all IPAF-funded small projects in their region; (v) submit annual workplans and budgets, withdrawal applications, technical and financial progress and grant closing reports to IFAD; (vi) submit annual audit reports; (vii) facilitate linkages between IPAF-funded small projects and IFAD country programmes; (viii) document and disseminate knowledge generated by IPAF-funded small projects; and (ix) support IPAF resource mobilization efforts.
18. At national and grass-roots levels, IPAF-awarded organizations (IPAF grantees) will be responsible for implementation of their projects. For this purpose, they will enter into a grant agreement with the corresponding regional organization.
19. The Senior Technical Specialist, Indigenous Peoples and Tribal Issues, Policy and Technical Advisory Division, will be responsible for ensuring effective management of the grant. Grant funds will not directly benefit IFAD and/or the IPAF secretariat for any activities implemented by the IPAF secretariat, whose costs are to be financed through IFAD's administrative budget.
20. IPAF-funded small projects will be selected through a global competitive process.
21. There are no deviations from the standard procedures for financial reporting and audits.

V. Indicative programme costs and financing

22. Total costs for the 2017-2020 IPAF cycle amount to US\$3,093,000. IFAD's contribution to the project is US\$2,000,000, of which 74 per cent finances implementation of the small projects awarded by the IPAF board. Cofinancing includes: US\$180,000 by Fondo para el Desarrollo de los Pueblos Indígenas de América Latina y el Caribe; US\$75,000 by Tamalpais Trust; US\$75,000 by the Christensen Fund; US\$60,000 by the International Work Group on Indigenous Affairs; US\$409,000 by the recipients: FIMI, Tebtebba Foundation and SWT; and US\$294,000 by IPAF grantees.

Table 1
Costs by component and financier
 (Thousands of United States dollars)

<i>Components</i>	<i>IFAD</i>	<i>Other cofinanciers</i>	<i>Total</i>
1. Empowerment of indigenous peoples' grass-roots organizations	1 524	542	2 066
2. Strengthening of indigenous peoples' networks and organizations at the regional level	186	456	642
3. Knowledge management	139	89	228
4. Cross-cutting activities	3	6	9
Total	1 852	1 093	2 945
Management and overhead	148		148
Grand total	2 000	1 093	3 093

Table 2
Costs by expenditure category and financier overall
 (Thousands of United States dollars)

<i>Expenditure category</i>	<i>IFAD</i>	<i>Other cofinanciers</i>	<i>Total</i>
1. Grants and subsidies	1 470	474	1 944
2. Consultancies	109	150	259
3. Salaries and allowances	108	409	517
4. Travel and allowances	138	60	198
5. Good services and inputs	27		27
Total	1 852	1 093	2 945
6. Management and overhead	148		148
Grand total	2 000	1 093	3 093

Table 3
Costs by expenditure category and financier
Recipient: FIMI
 (Thousands of United States dollars)

<i>Expenditure category</i>	<i>IFAD</i>	<i>Other cofinanciers</i>	<i>Total</i>
1. Grants and subsidies	490	278	768
2. Consultancies	35	36	71
3. Salaries and allowances	36	133	169
4. Travel and allowances	46	20	66
5. Good services and inputs	9		9
Total	616	467	1 084
6. Management and overhead	49		49
Grand total	665	467	1 132

Table 4
Costs by expenditure category and financier
Recipient: Tebtebba Foundation
 (Thousands of United States dollars)

<i>Expenditure category</i>	<i>IFAD</i>	<i>Other cofinanciers</i>	<i>Total</i>
1. Grants and subsidies	490	98	588
2. Consultancies	35	57	92
3. Salaries and allowances	36	136	172
4. Travel and allowances	46	20	66
5. Good services and inputs	9		9
Total	616	311	927
6. Management and overhead	49		49
Grand total	665	311	976

Table 5
Costs by expenditure category and financier
Recipient: Samburu Women Trust
 (Thousands of United States dollars)

<i>Expenditure category</i>	<i>IFAD</i>	<i>Other cofinanciers</i>	<i>Total</i>
1. Grants and subsidies	490	98	588
2. Consultancies	39	57	96
3. Salaries and allowances	36	140	176
4. Travel and allowances	46	20	66
5. Good services and inputs	9		9
Total	620	315	935
6. Management and overhead	50		50
Grand total	670	315	985

VI. Recommendation

23. I recommend that the Executive Board approve the proposed grant in terms of the following resolution:

RESOLVED: that the Fund, in order to finance, in part, the Indigenous Peoples Assistance Facility 2017-2020, shall provide a grant of six hundred sixty-five thousand United States dollars (US\$665,000) to the Fondo Internacional de Mujeres Indígenas; a grant of six hundred sixty-five thousand United States dollars (US\$665,000) to Tebtebba Foundation; and a grant of six hundred seventy thousand United States dollars (US\$670,000) to Samburu Women Trust for a three-year programme upon such terms and conditions as shall be substantially in accordance with the terms and conditions presented to the Executive Board herein.

Gilbert F. Houngbo
 President

Results-based logical framework

	Objectives-hierarchy	Objectively verifiable indicators	Means of verification	Assumptions
Goal	Indigenous peoples drive their own community development.	Up to 35 indigenous peoples' communities/ organisations enabled to manage and implement their development initiatives, with at least 50% receiving a rating of 3 or above (around 30,000 direct beneficiaries and around 135 000 indirect beneficiaries).	List of projects approved by the IPAF Board; Grant progress reports; Independent verification through supervision missions.	The three regional partners (the Recipients) have the full support of the indigenous peoples' communities.
Objectives	<ol style="list-style-type: none"> 1. To empower indigenous peoples to design and implement their self-driven development initiatives. 2. To enhance the capacity of regional indigenous peoples' organizations as regional hubs; 3. To improve indigenous peoples' access to relevant knowledge. 	<ol style="list-style-type: none"> 1. 30.000 direct beneficiaries satisfied with the outcomes of the projects they identified, implemented and evaluated; 2.a The three regional partners (<i>the Recipients</i>) effectively supervise and administer the selected sub-projects proposed by the indigenous peoples' communities/ organisations; 2.b Indigenous peoples organizations expand their networks with at least ten new grassroots organizations in their respective regions; 3.a # of platforms organised and channels linked to others to share knowledge and experience on IPAF sub-projects at local, regional and international level by the end of the programme; 3.b 80% of direct and indirect beneficiaries have access to knowledge they consider relevant and useful. 	<ol style="list-style-type: none"> 1. Monitoring and supervision reports of IPAF-funded projects; result-based assessments of projects; 2. The three regional partners (<i>the Recipients</i>) annual progress reports, closing reports; annual and final audit reports; annual IFAD supervision missions; 3. Research analysis, studies and publications produced and shared through local and global fora, bulletins and web pages; 4. Surveys conducted with organizations implementing IPAF funded projects. 	No negative interferences in the affairs of indigenous peoples at country level.

	Objectives-hierarchy	Objectively verifiable indicators	Means of verification	Assumptions
Outputs	<p>Component 1</p> <p>1.1 Up to 35 demand-driven initiatives of indigenous peoples' communities and their organisations, whose projects are selected by the IPAF Board, are financed and implemented in Africa, Asia and the Pacific and Latin America and the Caribbean;</p> <p>1.2 Up to 35 grassroots organisations build and strengthen their capacity on project management, implementation and evaluation of self-driven development initiatives.</p> <p>Component 2</p> <p>2.1 Three regional partners (<i>the Recipients</i>) effectively manage financial instruments that support grassroots initiatives;</p> <p>2.2 Three regional partners (<i>the Recipients</i>) improve their institutional and organisational capacities;</p> <p>2.3 Three regional partners (<i>the Recipients</i>) actively engage in the international arena and contribute to policy dialogue;</p> <p>Component 3</p> <p>4.1 The perspectives, proposals for solutions to rural poverty and well-being of indigenous peoples' communities and their organisations embedded in IPAF applications are captured and systematized;</p> <p>4.2 Knowledge and experience on IPAF-funded projects in Africa, Asia and the Pacific, Latin America and the Caribbean are systematized and shared for lessons learning and knowledge sharing;</p> <p>4.3 Regional and international fora/platforms are influenced by knowledge and experience generated by IPAF.</p>	<p>Component 1</p> <ul style="list-style-type: none"> • # of demand-driven projects approved, financed and successfully implemented in # countries in Asia and the Pacific, Africa and Latin America and the Caribbean by the end of the programme; • # of projects supporting women and youth organisations/groups at the national and grassroots levels; • # of national/grassroots IPAF-funded projects linked to IFAD operations in the country by the end of the programme; • # of national/grassroots organisations linked to the regional and global indigenous peoples' platforms and movement by the end of the programme. • Amount of resources mobilized and partnership developed by grassroots organizations. <p>Component 2</p> <ul style="list-style-type: none"> • Total financial resources received by the three regional partners (<i>the Recipients</i>) in Asia and the Pacific, Africa and Latin America and the Caribbean to support grassroots development initiatives in the first and second year of the programme; • Total funds disbursed by the three regional partners (<i>the Recipients</i>) to # national/grassroots organisations as approved by the IPAF Board in their respective regions according to project's program of work; • Timely and accurate technical and financial reports provided to IFAD by the three regional partners (<i>the Recipients</i>); • # of supervision and backstopping mission organised by the three regional partners (<i>the Recipients</i>) at the national level; • The three regional partners (<i>the Recipients</i>) audited on an annual basis; • Amount of resources mobilized and partnership developed by the three regional partners (<i>the Recipients</i>). <p>Component 3</p> <ul style="list-style-type: none"> • # of studies, analysis and papers produced and shared on knowledge and experience deriving from IPAF and its funded projects; • # of regional and international fora where knowledge and experience from IPAF is shared; • # of regional workshops organised to share and learn from IPAF experiences in Asia, Africa and Latin America and the Caribbean; • # of policy-relevant knowledge products completed; • # of existing/new laws, regulations, policies or strategies proposed to policy makers for approval, ratification or amendment. 	<p>Component 1</p> <p>Proposals received by IPAF and stored in the IPAF tracking system; Minutes of IPAF Board decision making meeting; Grant agreements between the three regional partners (<i>the Recipients</i>) and national/grassroots orgs; monitoring and supervision reports of IPAF-funded projects, proceedings of training events; Regional workshops/fora proceedings; community of practices' list of members; Information deriving from supervision missions/surveys of IFAD-funded projects; communications with CPMs; IPAF knowledge and experience informing IFAD-project designs; IFAD's in-house seminars and presentations.</p> <p>Component 2</p> <p>Grant agreements between IFAD and the three regional partners (<i>the Recipients</i>); proceedings of training events; correspondence between IPAF Secretariat and the three regional partners (<i>the Recipients</i>); evidence of disbursement of resources to the three regional partners (<i>the Recipients</i>); grant agreements between the three regional partners (<i>the Recipients</i>) and IPAF grantees; bank transactions for transferring resources from the three regional partners (<i>the Recipients</i>) to the IPAF grantees; proceedings of regional and international fora; the three regional partners' (<i>the Recipients</i>) technical and financial reports and annual audits; supervision reports by the three regional partners (<i>the Recipients</i>).</p> <p>Component 3</p> <p>Documents/publication/ reports; workshop proceedings; regional and international fora proceedings.</p>	<p>No negative interferences in the affairs of indigenous peoples at country level; National/local governments willingness;</p> <p>The three regional partners (<i>the Recipients</i>) determined to incorporate service orientation and a result-based M&E system combining traditional and specific indicators on the well-being of indigenous peoples;</p> <p>The three regional partners (<i>the Recipients</i>) determined to broaden their role at regional level and play a catalytic role to link up local and global platforms.</p>