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Enabling poor rural people  
to overcome poverty

## **President's report on a proposed grant under the global/regional grants window**

### **International Work Group for Indigenous Affairs (IWGIA)**

#### **Note to Executive Board representatives**

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**For: Approval**

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## Abbreviations and acronyms

GCG	Indigenous Global Coordinating Group
IPs	indigenous peoples
IWGIA	International Work Group for Indigenous Affairs
PGA	President of the General Assembly
SPFII	Secretariat of the Permanent Forum on Indigenous Issues
UNCT	United Nations Country Team
UNDRIP	United Nations Declaration on the Rights of Indigenous Peoples
UNPFII	United Nations Permanent Forum on Indigenous Issues
WCIP	World Conference on Indigenous Peoples

## **Recommendation for approval**

The Executive Board is invited to approve the recommendation for a grant under the global/regional grants window as contained in paragraph 7.

## **President's report on a proposed grant under the global/regional grants window to the International Work Group for Indigenous Affairs (IWGIA)**

I submit the following report and recommendation on a proposed grant to the International Work Group for Indigenous Affairs (IWGIA) in the amount of US\$900,000.

### **Part I – Introduction**

1. This report recommends the provision of IFAD support to the effective implementation of the provisions of the United Nations Declaration on the Rights of Indigenous Peoples at the national level in favour of indigenous peoples' communities in IFAD's borrowing countries in Africa, Asia and the Pacific, and Latin America and the Caribbean. The document of the grant for approval by the Executive Board is contained in the annex to this report:

International Work Group for Indigenous Affairs (IWGIA): IFAD Support to the Processes of the United Nations World Conference on Indigenous Peoples

2. The objectives and content of this programme are in line with the evolving strategic objectives of IFAD and the Fund's policy for grant financing.
3. The overarching strategic goal that drives the Revised IFAD Policy for Grant Financing, which was approved by the Executive Board in December 2009, is to promote successful and/or innovative approaches and technologies, together with enabling policies and institutions, that will support agricultural and rural development, empowering poor rural women and men in developing countries to achieve higher incomes and improved food security.
4. The policy aims to achieve the following outputs: (a) innovative activities promoted and innovative technologies and approaches developed in support of IFAD's target group; (b) awareness, advocacy and policy dialogue on issues of importance to poor rural people promoted by, and on behalf of, this target group; (c) capacity of partner institutions strengthened to deliver a range of services in support of poor rural people; and (d) lesson learning, knowledge management and dissemination of information on issues related to rural poverty reduction promoted among stakeholders within and across regions.
5. The proposed programme is in line with the strategic and eligibility criteria as defined by the Revised IFAD Policy for Grant Financing. It conforms to the strategic criteria: (a) the activities reflect IFAD's strategic objectives; (b) the programme promotes partnerships with key players in rural development to enhance development effectiveness in working with indigenous peoples; (c) the activities financed are not normally funded from IFAD's administrative budget; and (d) it will support and contribute to IFAD's current and planned country programmes – the proposed activities will benefit indigenous peoples in Africa, Asia and the Pacific, and Latin America and the Caribbean.
6. This proposed programme aims to achieve the following outputs in line with the revised IFAD grant policy: (a) it supports awareness, advocacy and policy dialogue

for the effective implementation of the provisions of the United Nations Declaration on the Rights of Indigenous Peoples; and (b) it is designed to enable IFAD to more-effectively learn and manage knowledge, relative to consultative processes with IFAD's stakeholders.

## **Part II – Recommendation**

7. I recommend that the Executive Board approve the proposed grant in terms of the following resolution:

RESOLVED: that the Fund, in order to finance, in part, the programme IFAD Support to the Processes of the United Nations World Conference on Indigenous Peoples, shall provide a grant not exceeding nine hundred thousand United States dollars (US\$900,000) to the International Work Group for Indigenous Affairs (IWGIA) for a three-year programme upon such terms and conditions as shall be substantially in accordance with the terms and conditions presented to the Executive Board herein.

Kanayo F. Nwanze  
President

# **International Work Group for Indigenous Affairs (IWGIA): IFAD Support to the Processes of the United Nations World Conference on Indigenous Peoples**

## **I. Background**

1. The United Nations Declaration on the Rights of Indigenous Peoples (UNDRIP), adopted by the General Assembly in 2007, is a comprehensive human rights instrument covering the full range of civil, political, economic, social, cultural and environmental rights of indigenous peoples. The IFAD Policy on Engagement with Indigenous Peoples, approved in 2009, is consistent with the declaration. It aims to enhance IFAD's development effectiveness in its engagement with indigenous peoples' communities in rural areas, and especially to empower them to overcome poverty by building on their identities and cultures.
2. In 2010 the Third Committee of the General Assembly decided to organize a high-level plenary session, to be known as the World Conference on Indigenous Peoples (WCIP), to be held in New York on 22-23 September 2014. The purpose of this meeting is to share perspectives on and best practices in the realization of the rights of indigenous peoples, including pursuit of the objectives of the UNDRIP. The WCIP seeks to produce a concise, action-oriented outcome document.
3. It is expected that the conference will be attended mainly by governments and a limited number of indigenous peoples (IPs) representatives.
4. Not being a fully-fledged world conference, it does not attract the financial and technical support that such a conference would. To ensure their full and effective participation in this meeting, indigenous peoples must organize their own preparatory activities.
5. While many indigenous peoples are volunteering in the WCIP process, attendance at meetings and the ability to play a strategic role in their outcomes is essential if the WCIP is to be of value. Indigenous peoples have established an indigenous Global Coordinating Group (GCG), formed by IPs representatives from the seven regions of the world, including the women's and youth caucuses. GCG has defined key activities for the participation of indigenous peoples in the WCIP preparatory process. Not being a separate legal entity, GCG has partnered with the International Work Group for Indigenous Affairs (IWGIA) to raise and manage funds. IWGIA is a trustworthy IFAD partner.
6. Indigenous peoples organized regional and caucus preparatory meetings, whose results were discussed in June 2013 in a Global Indigenous Preparatory Conference in Alta, Norway. The conference ended with unanimous endorsement of the Alta outcome document, which defines a common position for the WCIP and will be used to lobby for outcomes that indigenous peoples wish to achieve.
7. The Government of Mexico will host another preparatory meeting. Representatives of states and indigenous peoples will be invited in the second half of 2013 to discuss and determine the theme(s) of the WCIP. IPs participants must fund or find funding for their travel and accommodations.
8. It is against that background that this programme is being proposed. With the ultimate goal of contributing to the effective implementation of the provisions of the United Nations Declaration on the Rights of Indigenous Peoples at the national level in favour of indigenous peoples' communities in IFAD's borrowing countries in Africa, Asia and the Pacific, and Latin America and the Caribbean, this grant will support indigenous peoples' in the processes leading up to the WCIP and beyond; and it will raise awareness and support policy dialogue with governments and United Nations agencies to respond to the provisions of the UNDRIP and to

contribute to the WCIP action-oriented outcome document. This programme responds to the General Assembly resolution and the recommendations of the United Nations Permanent Forum on Indigenous Issues (UNPFII), calling on United Nations agencies to actively engage in processes leading up to and beyond the WCIP. This programme also responds to the recommendations of the first global meeting of the Indigenous Peoples' Forum at IFAD (February 2013), to support indigenous peoples efforts towards the WCIP. In his opening statement to the forum, the President of IFAD informed IPs representatives that IFAD was looking at ways to support the WCIP processes.

## II. Rationale and relevance to IFAD

9. This programme is in line with the IFAD Strategic Framework 2011-2015 and contributes to implementing two of its strategic objectives: (a) enabling poor women and men, and specifically indigenous peoples, to influence policies and institutions that affect their livelihoods; and (b) enabling institutional and policy environments to support agricultural production and the full range of related non-farm activities. It implements IFAD's strategic principle of engagement on targeting through championing the empowerment of poor rural women and men, including indigenous peoples and ethnic minorities. IFAD has a recognized comparative advantage in helping build the capacity of small agricultural producers' and community-based organizations to bring tangible benefits to their members, and to participate in relevant institutional and governance processes.
10. This grant is in line with the strategic and eligibility criteria as defined by the Revised IFAD Policy for Grant Financing. It conforms to the strategic criteria: (a) the activities reflect IFAD's strategic objectives; (b) the grant enables IFAD to more effectively learn and manage knowledge, relative to consultative processes with IFAD's stakeholders; (c) the programme promotes partnerships with key players in rural development to enhance development effectiveness in working with indigenous peoples; (d) the activities financed are not normally funded from IFAD's administrative budget; and (e) it will support and contribute to IFAD's current and planned country programmes – the proposed activities will benefit indigenous peoples in Africa, Asia and the Pacific, and Latin America and the Caribbean.
11. Finally, the programme is implementing the IFAD Policy on Engagement with Indigenous Peoples (2009), as it enables them to effectively interact and negotiate at national and international levels on development priorities that affect their lives.

## III. The proposed programme

12. The overall goal of the programme, as stated above, is to support the effective implementation of the provisions of the United Nations Declaration on the Rights of Indigenous Peoples at the national level in favour of indigenous peoples' communities in IFAD's borrowing countries in Africa, Asia and the Pacific, and Latin America and the Caribbean. The programme objective is to enable IPs organizations and their representatives, governments and United Nations agencies to effectively engage in the WCIP processes and implementation.
13. The target group consists of IPs organizations and their representatives from IFAD's borrowing countries in Africa, Asia and the Pacific, and Latin America and the Caribbean, including indigenous women and youth. This programme will further support governmental and United Nations agencies.
14. The three-year programme will comprise two components:
  - Indigenous peoples' engagement in WCIP processes;
  - Capacity-building and policy dialogue.
15. **Component 1.** Indigenous peoples' engagement in WCIP processes will support the participation of IPs representatives from IFAD's borrowing countries in Africa,

Asia and the Pacific, and Latin America and the Caribbean in the preparatory activities leading up to the WCIP, the WCIP itself, and its follow-up activities. If indigenous peoples are to participate effectively in these processes, they must collectively decide on the best way to influence the outcomes of the WCIP. The following activities are planned to achieve this objective: (i) IPs representatives from IFAD's borrowing countries participate effectively in the preparatory Mexican meeting and the interactive round tables and panel discussions of the WCIP itself; (ii) IPs representatives actively engage in and influence the interactive hearing to be called by the United Nations, which will take place in New York no later than June 2014; (iii) indigenous peoples set up an influential lobbying team of their representatives to work collectively, at the United Nations headquarters level, to influence governments in advancing the IPs global position; (iv) IPs representatives from IFAD's borrowing countries participate in deliberations of the WCIP and shape and help implement WCIP follow-up activities; and (v) indigenous peoples develop a road map for monitoring implementation of the WCIP outcome document and for setting and conducting clear follow-up priority activities.

16. **Component 2.** Capacity-building and policy dialogue will promote broad understanding, elaboration and dissemination of the UNDRIP and WCIP outcomes and implementation steps. The component's activities include: (i) after the WCIP, and in close cooperation with the Secretariat of the Permanent Forum on Indigenous Issues (SPFII), IWGIA will organize training workshops for government agencies, IPs organizations and United Nations Country Teams (UNCTs) in six countries in Africa, Asia and the Pacific, and Latin America and the Caribbean. Each country will feature a one-day workshop for government staff, a two-day workshop for IPs representatives, and a one-day workshop for UNCTs; and (ii) it will organize a three-day session of policy dialogue among IPs organizations, governments and UNCTs.

#### **IV. Expected outputs and benefits**

17. These are the following:
- The theme(s) for the WCIP supported by IPs representatives from IFAD's borrowing countries in Africa, Asia and the Pacific, and Latin America and the Caribbean;
  - Strong input from the Alta global indigenous outcome document into the WCIP process;
  - Consolidated proposals and strategies for engagement with states and United Nations agencies;
  - Strategic and meaningful input into the Mexican interactive dialogue process by IPs representatives from IFAD's borrowing countries – consistent with and building on the global indigenous position adopted at Alta;
  - Clear and ambitious road-map-building on the WCIP outcome document;
  - Identification of key elements for country policy implementation by the diverse stakeholders during country training workshops and policy dialogue; and
  - Knowledge products based on the experience of awareness creation and capacity-building activities to enhance policy dialogue among indigenous peoples, governments and United Nations agencies.

#### **V. Implementation arrangements**

18. The programme will be implemented by IWGIA, which will be responsible for management of resources and achievement of results. IWGIA will be supported in its work by GCG, SPFII and members of UNPFII.

19. IWGIA is the only international NGO focusing exclusively on research, documentation and promotion of the rights of indigenous peoples and on building their capacity to promote and defend their rights. It is a trustworthy ally of indigenous peoples and of the United Nations system. In its partnership with IFAD, IWGIA's implementation capacity has been tested over the past three years with positive results. It has been entrusted by GCG to support resource mobilization and to manage funds for the WCIP processes. IWGIA has entered into a memorandum of understanding (MoU) with GCG that formalizes the agreement between the two parties regarding the receipt, management and use of funds. IWGIA, in cooperation with SPFII, will implement component 2 of the programme. IWGIA and SPFII will enter into an MoU that sets forth the obligations and responsibilities of both parties. The draft MoU will be shared with IFAD for a "no objection" ruling.
20. The majority of the activities under component 1 relate to preparatory meetings from the second half of 2013 through the date of the WCIP in September 2014. Delegates to the preparatory meetings and the WCIP itself will be nominated by their respective regions and caucuses through their own decision-making processes.
21. Capacity-building workshops for government representatives, IPs organizations and UNCTs (component 2) and policy dialogue workshops in six IFAD borrowing countries will be implemented by IWGIA, in close collaboration with SPFII, UNPFII members and GCG. They will include the WCIP road map agreed on by IPs representatives.
22. The programme will be implemented in compliance with IFAD financial management procedures and its guidelines on procurement, financial reporting, audit and funds flow requirements. IWGIA will oversee grant activities from the financial perspective and will remain ultimately responsible for funds management, while coordinating with GCG and SPFII on logistics. Annual programme audit reports will be submitted to IFAD, consolidating expenditures incurred by all grant subrecipients, and showing expenditures incurred by all cofinanciers. Disbursements will be made through advances not exceeding 50 per cent of annual workplans and budgets, and statements of expenditure justifying at least 75 per cent of previous advances.

## VI. Indicative programme costs and financing

23. The overall cost of the programme is estimated at US\$1,880,000 over three years, of which IFAD will finance US\$900,000. Cofinancing will be provided by the Ford Foundation, US\$400,000; United Nations Voluntary Fund, US\$200,000; Christensen Fund, US\$120,000; IWGIA, US\$180,000; and Tamalpais Trust, US\$80,000. IWGIA will also provide US\$80,000 in in-kind contributions.

### Summary of budget and financing plan

(in thousands of United States dollars)

<i>Number</i>	<i>Type of expenditure</i>	<i>IFAD</i>	<i>Cofinancing</i>
1	Professional services/consultancies	84.0	-
2	Travel and accommodation	303.2	750
3	Training and capacity-building workshops	342.1	35
4	Policy dialogue	44.0	55
5	Knowledge management and communication	60.0	140
6	Overhead	66.7	-
	<b>Total</b>	<b>900.0</b>	<b>980</b>



# Results-based logical framework

	Objectives-hierarchy	Objectively verifiable indicators	Means of verification	Assumptions
<b>Goal</b>	The provisions of the UNDRIP are effectively implemented at national level in favor of IPs' communities from IFAD's borrowing countries in Africa, Asia and the Pacific, and Latin America and the Caribbean .	Number of UNDRIP implementation issues addressed by the WCIP with actionable measures.	Proceedings/outcome document of WCIP	Governments are convinced and support the proposed strategies
<b>Objectives</b>	Indigenous peoples' organizations and their representatives, governments and UN Agencies effectively engage in the WCIP process and its potential implementation	(a) Number of IFAD's borrowing countries in Africa, Asia and the Pacific, and Latin America and the Caribbean taking policy initiative on the basis of the WCIP outcomes (b) Number of hearings having a positive outcome (c) Number of policy discussions in IFAD's borrowing countries in Africa, Asia and the Pacific, and Latin America and the Caribbean initiated after the WCIP (d) Number of IFAD's borrowing countries in Africa, Asia and the Pacific, and Latin America and the Caribbean and UN Agencies who commit concrete actions in the WCIP outcome document; (e) Number of IPs reps from IFAD's borrowing countries in Africa, Asia and the Pacific, and Latin America and the Caribbean supporting the commitments and proposals of the WCIP outcome document	States' legislative pieces, policies, regulations  WCIP proceedings States' statements Official documents of the WCIP Partners' reports  Reports/questionnaires submitted to the UNPFII	Genuine commitment of stakeholders in implementing the WCIP outcomes  Lack of internal hindrances that prevent WCIP implementation
<b>Outcome Component 1: IPs Engagement in the WCIP Processes</b>	Indigenous peoples from IFAD's borrowing countries in Africa, Asia and the Pacific, and Latin America and the Caribbean fully and effectively participate in the preparatory activities leading up to the WCIP, the WCIP itself and the follow up activities to the WCIP.	(a) Number of IPs' consultations undertaken before the WCIP and participants composition (b) Number of inputs from consultation meetings feeding into the WCIP final document (c) Outcome documents of the respective meetings in particular the outcome document of the WCIP itself, reflecting examples of best practices of the implementation of the Declaration at national, regional and international levels (IFAD's borrowing countries in Africa, Asia and the Pacific, and Latin America and the Caribbean), following the WCIP (d) UNPFII members from IFAD's borrowing countries take part in the WCIP	Outcome documents of the respective meetings  WCIP outcome report and proceedings and States' statements	States look favourably upon Indigenous peoples' proposals and strategies  UNPFII members are adequately represented to convey and advocate indigenous peoples concerns and as expert members  UNPFII members have the opportunity to have their voices heard at the WCIP.
<b>Output 1</b>	A theme(s) for the WCIP supported by indigenous peoples representatives from IFAD's borrowing countries from Africa, Asia and the Pacific, and Latin America and the Caribbean.	Number of IFAD's borrowing countries in Africa, Asia and the Pacific, and Latin America and the Caribbean agreeing to the theme(s) of the WCIP	Reports by the PGA and states confirming the theme(s).	The Alta meeting outcome document extensively reflects the views and aspirations of indigenous peoples
<b>Output 2</b>	The Alta global indigenous outcome document constitutes a strong input in the WCIP process	(a) Number of IFAD's borrowing countries in Africa, Asia and the Pacific and Latin America and the Caribbean supporting the Alta global	States' statements reflecting the position of the Alta global indigenous outcome document	

	Objectives-hierarchy	Objectively verifiable indicators	Means of verification	Assumptions
		indigenous outcome document (b) Number of elements from Alta documents inputted to the WCIP final document	WCIP documents	
<b>Output 3</b>	Consolidated proposals and strategies of indigenous peoples for engagement with States and UN Agencies	(a) Strategic paper/declaration drafted during the Alta conference (b) Number of IPs reps. adopting a strategic action plan at the Alta (Norway) Conference	Alta conference proceedings and report GCG website	The Alta meeting participants evenly represents indigenous peoples communities
<b>Output 4</b>	Strategic and meaningful input by Indigenous peoples' representatives from IFAD's borrowing countries in Africa, Asia and the Pacific, and Latin America and the Caribbean into the Mexican interactive dialogue process that is consistent with and builds upon the global indigenous position adopted at Alta;	(a) At least 30 IPs' reps from IFAD's borrowing countries in (10 from each region: Africa, Asia and the Pacific; and LAC) participate in the interactive dialogue  (b) Meeting inputs are included in the WCIP final document	Report reflecting the input of indigenous peoples' representatives  Lists of participants and report of the interactive dialogue; Media sources quoting/referencing indigenous peoples' participation	Meeting participants represents global views and not only the interests of selected IPs' organizations
<b>Output 5</b>	Clear and ambitious road map that builds upon the outcome document of the WCIP	Number of IPs' reps from IFAD's borrowing countries in Africa, Asia and the Pacific, and Latin America and the Caribbean who adopt the action plan to further the work of the WCIP	Publication of road plan by Indigenous peoples via the GCG website and other social media platforms.	An outcome document that is supported by Indigenous peoples  The road map is developed in a participatory way by indigenous peoples
<b>Outcome Component 2: Capacity building and policy dialogue</b>	UNDRIP and WCIP outcomes and implementation steps are widely understood, elaborated and disseminated	(a) Framework document for policy implementation (b) Number of guides/good practice/notes, developed (c) Number of references to IPs, UNDRIP and other relevant instruments in projects, programs and UNDAFs. (d) Number of policies and institutional engagements between IPs and governments. (e) Inclusion of recommendations made by IPs and the UNPFII in the outcome document of the WCIP.	Training/Workshops and other relevant documents.  Information received by IWGIA-SPFII from UNCTs, indigenous peoples organizations and governments  Outcome document of the WCIP.	Political will of governments as well as the UN system to mainstream indigenous issues
<b>Output 1</b>	Identification of key element for country policy implementation by different stakeholders during country training workshops and policy dialogues	List of key elements for policy implementation by country and stakeholder	Workshop reports and outcome documents	Workshop participants are decision-makers in their organizations, institutions, communities  UNCTs reps, government officials and indigenous representatives participate in trainings and policy dialogues in spirit of good-will and cooperation
<b>Output 2</b>	Knowledge product based on experience of awareness creation and capacity building activities to enhance policy dialogue amongst IPs, UN agencies and governments	Policy framework	Three days workshop report Policy framework in place	Effective communication and dissemination systems in place at country level UNCTs, government officials and indigenous representatives participate in trainings and policy dialogues in spirit of good-will and cooperation