Report on the status of the Tenth Replenishment of IFAD’s Resources

Note to the Governors

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For: **Information**
Report on the status of the Tenth Replenishment of IFAD’s Resources

1. **Reporting requirement.** Resolution 186/XXXVIII on the Tenth Replenishment of IFAD’s Resources was adopted by the Governing Council on 17 February 2015. The period of the Tenth Replenishment is defined in the resolution as “the three-year period commencing 1 January 2016 and ending on 31 December 2018”. Pursuant to section IX of resolution 186/XXXVIII, the present report concerns the status of commitments, payments, Tenth Replenishment votes and other relevant matters concerning the Tenth Replenishment of IFAD’s Resources (IFAD10).

2. **Target.** Section I(c) of resolution 186/XXXVIII established the target for additional contributions including core and unrestricted complementary contributions in the amount of US$1.44 billion. Section I(e) of resolution 186/XXXVIII established a structural gap to be observed at the end of a six-month period after the adoption of the resolution. The structural gap between total pledges and the target level may not exceed 15 per cent. Pledges for core and unrestricted complementary contributions as at 16 August 2015 amounted to US$1,149,778,066. The target level was thus revised to US$1.353 billion such that total pledges for core and unrestricted complementary contributions correspond to 85 per cent of the revised target amount. Accordingly, the President of IFAD has communicated to all Members of the Fund that the target level for additional core and unrestricted complementary contributions is confirmed at US$1.353 billion.

3. **Debt Sustainability Framework (DSF).** Section II of resolution 186/XXXVIII established that during the IFAD10 period, DSF compensation contributions to compensate the Fund for forgone principal reflows in the amount of US$3.4 million shall be accepted. Member States are reminded that they had agreed in 2007 to share the burden of compensating IFAD for forgone reflows for grants provided under DSF, and that the DSF amount will grow under future replenishments (under IFAD11, the amount is estimated at $39.5 million and under IFAD12, $97.9 million). It is thus essential that all Member States continue to contribute their respective share to DSF compensation.

4. **Status of contributions.** Annexes I and II set out the status of pledges, instruments of contribution deposited and payments in cash and promissory notes for IFAD10 as at 31 December 2015.

5. **Pledges.** As shown in the summary table in annex I, total pledges as at 31 December 2015, including unrestricted complementary contributions, amounted to US$1.174 billion equivalent, corresponding to 87 per cent of the revised IFAD10 target of US$1.353 billion. Member States that have not yet pledged and those intending to increase their contribution are being urged to communicate their pledges to the Fund as soon as possible.

6. **Instruments of contribution.** As at 31 December 2015, instruments of contribution deposited amounted to US$680 million or 58 per cent of pledges. Member States whose instruments of contribution have not yet been deposited are being requested to take the necessary action.

7. **Payments.** As at 31 December 2015, payments received from Member States for IFAD10 totalled US$78 million equivalent, or 7 per cent of pledges.

8. **IFAD10 votes.** In accordance with article 6, section 3(ii) of the Agreement Establishing IFAD, replenishment votes shall be made up of votes to be decided by the Governing Council upon each occasion that it calls for additional contributions. Accordingly, in section VII(a) of resolution 186/XXXVIII, the Governing Council decided that new replenishment votes shall be created (IFAD10 votes). The Governing Council also decided that the total amount of IFAD10 votes shall be
calculated by dividing the total amount of pledges of core contributions which have been received as of 16 August 2015 by US$1,580,000.

(i) **Creation of new votes.** Of the total pledges of US$1,152,762,227 received as at 16 August, pledges amounting to US$82,621,240 were in the form of unrestricted complementary contributions, pledged in accordance with section II(b)(iv) of resolution 186/XXXVIII, and thus do not count towards the creation of votes. This results in a balance of US$1,070,140,987 of core contributions and DSF compensation contributions for the creation of IFAD10 votes. Under the formula stipulated in article 6, section (a)(ii) of the Agreement Establishing IFAD, and as per section VII(a) and (b) of resolution 186/XXXVIII, 100 replenishment votes are created for the equivalent of US$158 million contributions pledged. Thus, for the Tenth Replenishment, the amount of US$1,070,140,987 creates 677.305 replenishment votes.

(ii) **Distribution of the new votes.** In accordance with article 6, section 3(a)(ii) of the Agreement Establishing IFAD, and section VII(a) and (b) of resolution 186/XXXVIII, IFAD10 votes are broken down into membership votes and contribution votes. The total amount of IFAD10 votes is fixed and will not be altered by any change in the membership of the Fund or further pledges to IFAD10. A table containing the calculation of membership and contribution votes, including IFAD10 votes, is attached hereto as annex III. Although the number of IFAD10 votes is fixed at a total of 677.305, the distribution of contribution votes among Member States will change as payments of contributions are received. Therefore, all Member States contributing to IFAD10, including those announcing their pledges after 16 August 2015, will receive a share of the IFAD10 contribution votes in proportion to their respective share of paid-in contributions to the replenishment. Annex III presents the voting rights of Member States as at 31 December 2015.

9. **Effectiveness of the replenishment.** In accordance with section IV(a) of resolution 186/XXXVIII, IFAD10 will become effective on the date “upon which the instruments of contribution or payments made without an instrument of contribution relating to the additional contributions from Members referred to in section II of this Resolution have been deposited with or received by the Fund in an aggregate amount equivalent to at least 50 per cent of the pledges as communicated by the President to Members pursuant to subsection I(d) of this Resolution.” On 2 December 2015, instruments of contribution and payments not supported by instruments of contribution had been deposited in an aggregate amount of US$585 million or 51 per cent of total pledges of US$1.153 billion as at 16 August 2015, and IFAD10 became effective.

10. **Effectiveness of the new votes.** In accordance with section VII(c) of resolution 186/XXXVIII, the distribution of IFAD10 votes shall enter into effect six months after the adoption of the resolution. Accordingly, the IFAD10 votes became effective as at 16 August 2015.
Summary of the status of contributions to the Tenth Replenishment  
As at 31 December 2015

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<th>Total contributions, including complementary contributions</th>
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<td>US$ equivalent % of pledges</td>
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<td>1 073 658 605</td>
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<td>Promissory note (PN) payments not supported by IOCs</td>
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<tr>
<td>Total IOCs, incl. payments in cash and PN not supported by IOCs</td>
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<td>12 166 743</td>
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<td>Promissory notes received</td>
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<td>Total payments received</td>
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<td>6.7&lt;sup&gt;a&lt;/sup&gt;</td>
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<sup>a</sup> Percentages calculated on the basis of pledges.
<sup>b</sup> Percentages calculated on the basis of the revised replenishment target of US$1,352,680,077 as at 16 August 2015.
### Status of Member States’ contributions to the Tenth Replenishment
### As at 31 December 2015

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<th>Country</th>
<th>Currency</th>
<th>Amount</th>
<th>US$ equiv.</th>
<th>Date</th>
<th>US$ equiv.</th>
<th>Pledge</th>
<th>Deposit of instrument of contribution</th>
<th>Payments as % of total contributions</th>
<th>Promissory note</th>
<th>Cash</th>
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### Status of Member States’ contributions to the Tenth Replenishment

#### As at 31 December 2015

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## Status of Member States’ contributions to the Tenth Replenishment  
**As at 31 December 2015**

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\(^1\) Contributions in national currency are converted at the official exchange rate on the due date.
Status of Member States’ contributions to the Tenth Replenishment  
As at 31 December 2015  

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*Currency abbreviations: EUR = Euro, US$ = United States Dollar, SEK = Swedish Krona, CHF = Swiss Franc*
### Status of Member States’ contributions to the Tenth Replenishment

#### As at 31 December 2015

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For the purpose of this report, the term “pledge” includes (i) an explicit expression of a Member’s intention to contribute to the resources of the Fund and (ii) direct payments received by the Fund without the prior announcement of a pledge.

Amount is converted into United States dollars by applying the average exchange rate, as described in section II(e) of Governing Council resolution 186/XXXVIII.

The following abbreviations are used for currencies:

- AUD: Australian dollar
- EUR: euro
- NZD: New Zealand dollar
- XAF: CFA franc
- CAD: Canadian dollar
- GBP: pound sterling
- SDR: special drawing right
- CHF: Swiss franc
- JPY: Japanese yen
- SEK: Swedish krona
- NZD: New Zealand dollar
- USD: United States dollar
- DKK: Danish krone

Subject to appropriate approval.

Advance payment to IFAD10, using overpayment from IFAD9.

The contribution from Canada includes an unrestricted complementary contribution of CAD10 million for climate change adaptation.

The contribution from the Netherlands includes an unrestricted complementary contribution of EUR 40 million for mainstreaming climate change. The precise modalities of the unrestricted complementary contribution will be confirmed at a later date.

Subject to confirmation.

The contribution from the Russian Federation includes an unrestricted complementary contribution of US$3 million for nutrition-sensitive agriculture.

The United States pledge includes a core contribution of US$90 million from the United States Department of Treasury and an unrestricted complementary contribution of US$12 million from the United States Agency for International Development (USAID) for climate-smart agriculture. The core contribution is subject to congressional approval through the annual appropriations process. The first tranche of US$31,930,000 for IFAD10 was approved through the FY2016 budget in December 2015 and includes US$1,930,000 for arrears accrued by the United States in past years. The unrestricted complementary contribution does not require congressional approval and derives from the USAID budget.

The contribution from China includes an unrestricted complementary contribution of US$5 million for South-South and Triangular Cooperation.

This represents cash and promissory notes received as a percentage of pledged contribution amounts.

In addition to the core pledge of EUR 52,220,754, Germany pledged EUR 13 million for mainstreaming climate change.
## Summary of the status of contributions to the Tenth Replenishment for Debt Sustainability Framework (DSF) compensation

As at 31 December 2015

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## Status of Member States’ contributions to the Tenth Replenishment for Debt Sustainability Framework (DSF) compensation

As at 31 December 2015

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### Payment of instalments

- **For the purpose of this report, the term “pledge” includes (i) an explicit expression of a Member’s intention to contribute to the resources of the Fund and (ii) direct payments received by the Fund without the prior announcement of a pledge.**

- **Subject to appropriate approval.**

- **Subject to confirmation.**

### Notes:

- Amount is converted into United States dollars by applying the average exchange rate, as described in section II(e) of Governing Council resolution 186/XXXVIII.

- The following abbreviations are used for currencies:
  - CAD: Canadian dollar
  - GBP: pound sterling
  - SDR: special drawing right
  - CHF: Swiss franc
  - JPY: Japanese yen
  - SEK: Swedish korona
  - NOK: Norwegian krone
  - US$: United States dollar
  - XAF: CFA franc
  - NZD: New Zealand dollar
### Voting rights of Member States as at 31 December 2015

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<th>Total Original, Fourth, Fifth, Sixth, Seventh, Eighth and Ninth Replenishment votes</th>
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Voting rights\(^1\) of Member States as at 31 December 2015

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1 Voting rights shown here are subject to change as countries complete their payments to IFAD’s replenishments or upon change in Membership of the Fund. Any discrepancy in totals is due to rounding.