

### Annual Report on Results and Impact of IFAD Operations (ARRI) Database

**Briefing for IFAD Member States 11 July 2017** 



### **Background**

- The first ARRI was issued in 2003.
- IFAD is one of the first multilateral development organizations to produce such a report.
- The ARRI has two objectives:
  - (i) report on results and impacts;
  - (ii) identify lessons and systemic issues.



## ARRI is a synthesis of the past year's evaluations

### Types of evaluations

- Corporate-level Evaluation (CLE)
- Evaluation Synthesis Report (ESR)
- Country Strategy & Programme Evaluation (CSPE)
- Impact Evaluation (IE)
- Project Performance Evaluation (PPE)
- Project Completion Report Validation (PCRV)



### Methodology for evaluative evidence and data is based on the Evaluation Manual

#### **First Edition**

- Published in 2009
- Covered evaluations conducted between 2009 and 2015
- Determined the assessment of projects that completed from 2007 until 2015.

#### **Second Edition**

- Published in 2015
- Guides evaluations conducted from 2016 onwards
- Determines the assessment of projects that completed from 20011 onwards

 The methodology followed in this presentation is based on the second edition of the Evaluation Manual



# Project evaluation criteria in PCRV, PPE, IE and portfolio performance in CSPEs

#### 11 Evaluation criteria at the project level

Relevance

Effectiveness

Efficiency

Sustainability of benefits

Rural poverty impact

Gender equality and women's empowerment

**Innovation** 

Scaling-up

**Environment and Natural Resource Management** 

Adaptation to climate change

Performance of partners

Project Performance

Overall Project Achievement



## Country strategy & programme evaluation (CSPE) criteria

#### Non-lending activities

- Policy dialogue
- Partnership-building
- Knowledge management
- South-South and Triangular Cooperation (when applicable)

#### **COSOP** performance

- Relevance
- Effectiveness

#### **Government and IFAD partnership**

- Portfolio performance
- Non-lending activities
- COSOP performance



# Assessment against the criteria is captured quantitatively through a rating system

- Qualitative and quantitative evidence is assessed against evaluative criteria and summarized in a numeric rating from 1 to 6.
- Rating scale:

Rating	Assessment	Category
6	Highly satisfactory	
5	Satisfactory	Satisfactory
4	Moderately	Satisfactory
	satisfactory	
3	Moderately	
	unsatisfactory	Uncaticfactory
2	Unsatisfactory	Unsatisfactory
1	Highly unsatisfactory	



# The ARRI database consolidates all evaluation ratings from 2000

### **ARRI DATABASE (2000-2016)**

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		REGIO	Ņ	Sub-	Proje	Loan	Co-	Cooperati	i	YEA	AR OF:			CPE	PE I	PROJ						П						
COUNTRY	PROJECT NAME	Region	Code	sector			Financ inq	ng Institutio	Approval fec	tivene co	mpletio	Closing	<u>Year</u> includ	PELP PAL	E/C	ECT Dyer												
Congo	Rural Development Project in the Niari, Bouenza, and Lekoumou Departments (PRODERSUD)	Western and Ce	VCA	RURAL	1327	8.4	YES	UNOPS	20-Apr-06 28-	-Nov-06 3	81-Dec-13	30-Jun-14	2017	PCRV		3	3	3	3	3	3.0	3	3		NR	NR	3	2
DR Congo	Agricultural rehabilitation programme in orientale province (PRAPO)	Western and Ce	VCA	RURAL	1311	15.5	YES	UNOPSIFA	11-Dec-05 14-1	Nov-07 3	31-Oct-13	30-Apr-14	2017	PPE		3	3	3	2	3	2.8	3	2		NR	NR	3	2
DR Congo	Agricultural Revival programme in Equateur Province (PRAPE)	Western and Ce	VCA	OOD SE	1244	14.8	YES	UNOPSIFA	21-Apr-04 11-1	Oct-05 3	31-Dec-12	30-Jun-13	2017	PCRV		3	3	3	3	3	3.0	3	3		NR	NR	3	2
Djibouti	Programme for Mobilization of Surface Water and Susteinable Land Management (PROMES-G	Near East and N	NEN	AGRIC	1366	3.0	YES	UNOPS	13-Dec-07 16-0	Dec-08 3	31-Dec-14	30-Jun-15	2017	PCRV		4	5	4	4	4	4.3	5	3		NR	NR	4	4
Ecuador	Development of the Central Corridor Project	Latin America a	LAC	RURAL	1297	14.8	YES	ión Andina d	e 02-Dec-04 25-	-Sep-07 3	80-Jun-14	31-Dec-14	2017	PCRV		4	5	4	4	4	4.3	5	4		NR	NR	4	4
Egypt	West Noubaria Pural Development Project	Near East and N	NEN	RURAL	1204	18.5	YES	UNOPS	23-Apr-02 09-	-Apr-03 3	80-Jun-14	31-Dec-14	2017	PPE		4	4	4	3	4	3.7	4	5		NR	NR	4	4 1
India	Women's Empowerment and Livelihoods Programme in the mid-Gangetic Plains (WELP)	Asia and the Pa	APR	DITAFIN:	1381	30.2	YES	IFAD	14-Dec-06 04-	-Dec-09 3	31-Jan-15	30-Jun-15	2017	PCRV		2	3	2	2	3	2.5	4	2		NR	NR	N/A	2
Kenya	Smallholder Horticulture Marketing Programme (SHoMaP)	Eastern and So	ESA	AGRIC	1330	23.4	YES	IFAD	18-Apr-07 23-	Nov-07 3	0-Jun-15	30-Jun-15	2017	PCRV		4	4	4	4	4	4.0	5	4		NR	NR	5	5
Malawi	Rural Livelihoods Support Programme (RLSP)	Eastern and So	ESA	RURAL	1164	13.5	YES	UNOPS	12-Sep-01 30-	-Aug-04 3	80-Sep-13	31-Mar-14	2017	PPE		3	4	3	2	4	3.3	4	3		NR	NR	3	4 1
Mali	Northern Regions Investment and Rural Development Programme (PIDRN)	Western and Ce	VCA	RURAL	1131	14.6	YES	IFAD	19-Apr-05 10-	Apr-06 3	30-Jun-14	31-Dec-13	2017	PCRV		4	5	5	4	3	4.3	5	5		NR	NR	5	5
Mali	Kidal Integrated Rural Development Programme (PIDRK)	Western and Ce	VCA	RURAL	1356	11.3	YES	IFAD	14-Dec-06 20	)-Jul-07 3	0-Sep-14	31-Mar-15	2017	PCRV		4	5	3	3	3	3.5	5	4		NR	NR	N/A	N/A N
Mexico	Sustainable Development Project for Rural and Indigenous Communities of the Semi-Arid Nort	Latin America a	LAC	RURAL	1349	25.0	YES	UNOPS	01-Jun-05   01-	-Sep-06 3	31-Dec-13	18-Aug-14	2017	PCRV		4	4	3	4	3	3.5	4	4		NR	NR	4	4
Mozambiqu	Sofala Bank Artisanal Fisheries Project	Eastern and So	ESA	RURAL	1184	18.0	YES	IFAD	12-Sep-01 03-	-Sep-02 3	31-Mar-11	30-Sep-11	2017	E		4	4	4	4	4	4.0	4	4		NR	NR	4	N/A N

Link: Excel database

### ARRI data series aggregate ratings by type of evaluation

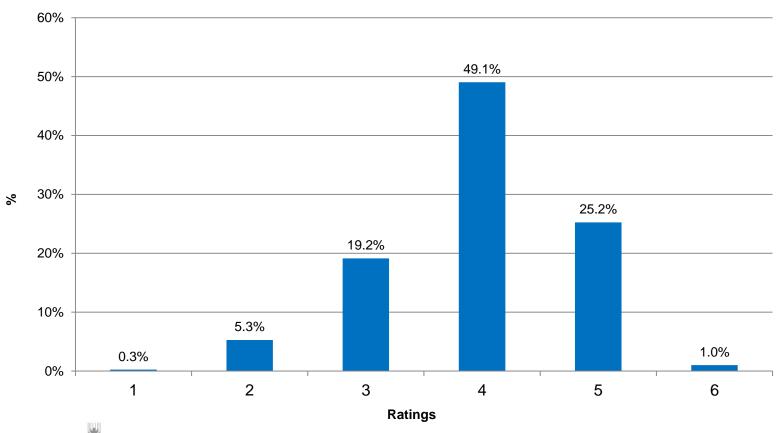
#### 2017 ARRI Data Series

Data Series	Sources	Time Period	Reference Year	Sample
PCRV/PPE	PCRV, PPE, IE	2007-2015	Completion	157 projects
Country Strategy & Programme (CSPE)	CSPE	2006-2016	Evaluation conducted	40 CSPEs
All evaluations	PCRV, PPE, IE, CSPE portfolio, PEs	2000-2016	Completion	295 projects



# PCRV/ PPE distribution of ratings in 2017 ARRI

Distribution of all ratings, 2007-2015 (N= 1953)

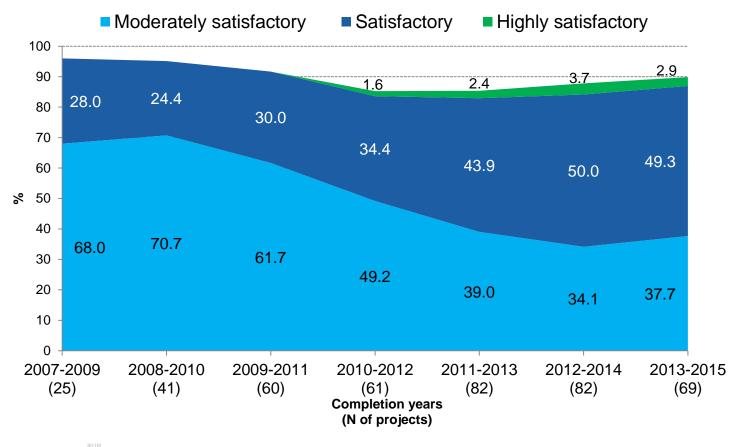




### **PCRV/ PPE data analysis:**

### 3-year moving averages from 2007 to 2015

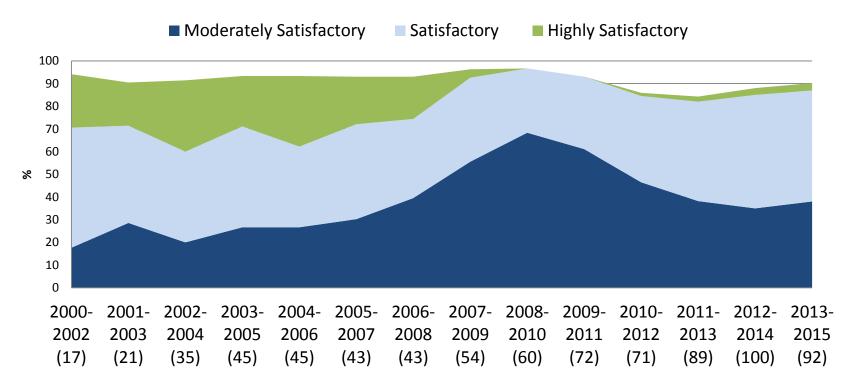
#### Relevance

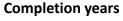




# All evaluation data analysis: 3-year moving averages from 2000 to 2015

#### Relevance

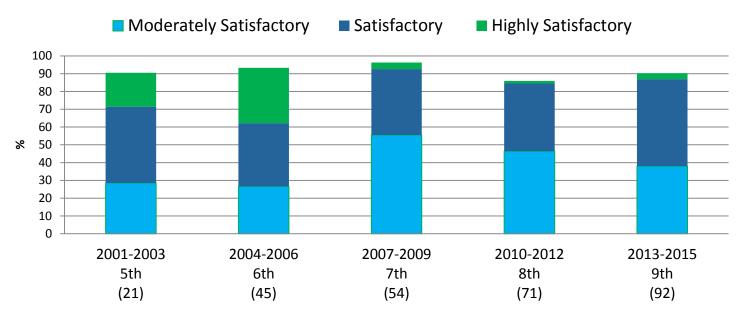






## All evaluation data analysis: Replenishment blocks from 2001 to 2015

Relevance: All evaluation data series by year of completion- by replenishment period

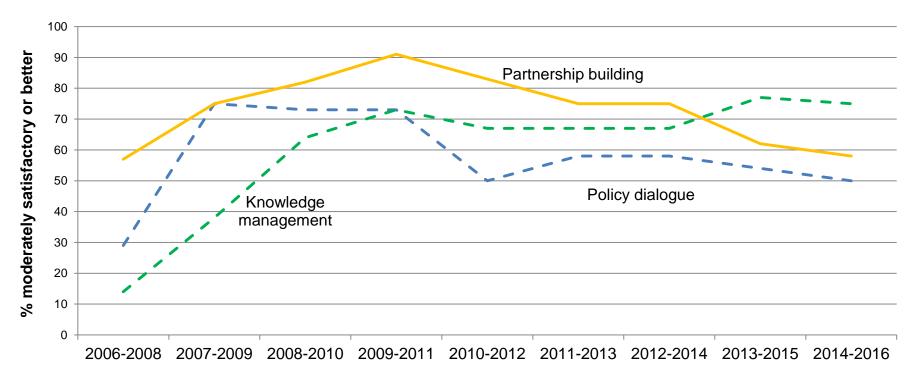


Replenishment period



# Country Strategy & Programme Evaluation data analysis

Performance of non-lending activities





### External benchmarking with other IFIs using all evaluation data series

External benchmarking with agricultural portfolio of other IFIs

Percentage of projects with performance rated MS +								
IFAD WB AsDB AfDB								
Time period	2002-2015	2002-2015	2002-2014	2002-2013				
2002-2015	75%	76%	65%	44%				

- From 2000 to 2016, ARRI project performance was an average of relevance, effectiveness and efficiency
- In 2017 ARRI, project performance has same definition as other IFIs and includes sustainability.



## Internal benchmarking based on PCRV/ PPE data series

Evaluation criteria	Mean	ratings	Disconnect of mean rating
	IOE	PMD	
Relevance	4.32	4.87	-0.55
Effectiveness	3.97	4.21	-0.24
Efficiency	3.63	3.92	-0.29
Sustainability of benefits	3.67	4.00	-0.33
Project performance	3.95	4.33	-0.38
Impact (Rural poverty impact)	4.10	4.25	-0.15
Innovation	4.19	4.44	-0.25
Gender equality and women's empowerment	4.22	4.46	-0.24
Environment and Natural Resource Management	3.88	4.13	-0.25
Overall Project Achievement	3.98	4.28	-0.30
IFAD performance	4.22	4.53	-0.31
Government performance	3.83	4.11	-0.28



# 2017 Harmonization Agreement with Management

- Revised agreement to harmonize self-evaluation and independent evaluation systems in two phases:
  - (i) Criteria and their definitions in project- and country-level evaluations;
  - (ii) Systems and processes of both independent and self-evaluations.
- Objectives of harmonization for both independent and selfevaluation:
  - (i) Strengthen both systems and their complementarities;
  - (ii) Enhance evaluability of IFAD-financed interventions;
  - (iii) Ensure comparability of results;
  - (iv) Synchronize timely completion of both types of evaluations;
  - (v) Improve clarity on evaluative criteria and concepts for staff.



# Revisions at project and country programme levels

#### **Project**

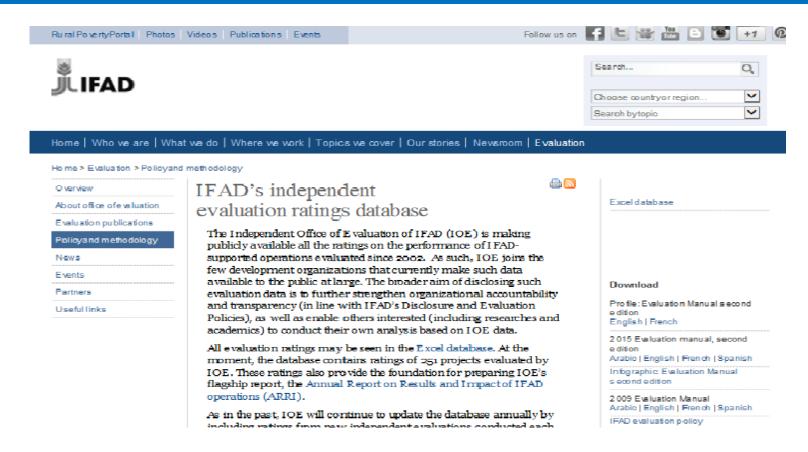
- Same set of criteria and definitions for both systems:
- Rural poverty subdomains no longer rated
- Innovation and Scaling up separate criteria
- Gender 'equality'
- Ex post assessment of validity of assumptions at design – examining in detail Theory of Change

#### **Country Programme**

- IOE CSPE and Management COSOP Completion Review (CCR) to emphasize strategic importance of COSOP performance
- Both to focus on relevance and effectiveness of COSOP performance and rate them
- CCR, if available, substitute for PMD self-assessment (efficiency gain)



### The ARRI database is accessible to the public for learning, accountability and transparency



• link: Excel database - https://www.ifad.org/evaluation/policy\_and\_methodology/tags/1852158



### Questions & Answers



