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# **IFAD Partnership Strategy**

#### Note to Evaluation Committee members

Focal points:

Technical questions:

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# **IFAD Partnership Strategy**

#### Note to Executive Board representatives

Focal points:

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#### **Edward Heinemann**

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Executive Board —  $106^{\text{th}}$  Session Rome, 20-21 September

For: Review

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# Abbreviations and acronyms

| AfDB<br>AsDB | African Development Bank<br>Asian Development Bank        |
|--------------|---|
| CGIAR        | Consultative Group on International Agricultural Research |
| CLE          | corporate-level evaluations                               |
| CMR          | corporate management result                               |
| СОМ          | Communications Division                                   |
| CSO          | civil society organizations                               |
| IFPRI        | International Food Policy Research Institute              |
| IOE          | Independent Office of Evaluation of IFAD                  |
| MICs         | middle-income countries                                   |
| MOU          | Memorandum of Understanding                               |
| OECD         | Organisation for Economic Co-operation Development        |
| OPEC         | Organization of the Petroleum Exporting Countries         |
| ORRU         | Partnerships and Cooperation Unit                         |
| PRM          | Partnership and Resource Mobilization Office              |
| RBAs         | Rome-based agencies                                       |
| SWOT         | strengths, weaknesses, threats and opportunities          |
|              |   |

# **Executive summary**

- 1. Partnerships are critical for enabling IFAD to get to grips with complex, rapidly changing issues relative to agricultural and rural development; and they are a necessity if it is to achieve greater outreach and expanded impact in terms of rural poverty reduction, with limited resources. So while this strategy is a direct response to a commitment made in the Report of the Consultation on the Eighth Replenishment of IFAD's Resources, it also confirms IFAD's recognition of the importance and value of partnerships as a means to achieving its development objectives, and its concern to provide a strategic framework for its partnership efforts.
- 2. In the context of this strategy, partnerships are defined as: *Collaborative* relationships between institutional actors that combine their complementary strengths and resources and work together in a transparent, equitable and mutually beneficial way to achieve a common goal or undertake specific tasks. Partners share the risks, responsibilities, resources and benefits of that collaboration and learn from it through regular monitoring and review.
- 3. IFAD already works with an array of partners, including its Member governments; civil society organizations, particularly those of smallholder farmers and other groups of rural people; other United Nations agencies; bilateral and multilateral development agencies; international agricultural research centres; NGOs and foundations; policy research institutes and universities; regional organizations; and private-sector players. It collaborates in virtually all aspects of its work, operational and organizational; at different levels global, regional, national and local, as well as corporate; and in ways that range from the formal to the informal. IFAD also brokers partnerships between development actors particularly among governments, farmers' organizations and private-sector players, and for South-South cooperation.
- 4. Drawing on analysis by the Independent Office of Evaluation of IFAD and the Brookings Institute, as well as a survey of IFAD's partners and a benchmarking exercise conducted during the preparation of this strategy, it is possible to identify the major strengths and weaknesses of IFAD's partnering practices. On one hand, IFAD has strong partnerships with many of its Member States; it has established a number of unique partnerships in different domains; it has a recognized comparative advantage and is trusted by many of its partners; and its partnership ratings are improving. On the other hand, it does not yet have a common institutional vision of partnerships; it has allowed many non-strategic partnerships to develop in an ad hoc manner, a few of which incur high costs while offering limited benefits; it has given inadequate attention to its partnerships with some of its Members; and it has not consistently well managed and monitored its partnerships nor has it always been responsive to its partners. The strategy seeks to build on these strengths, while addressing the weaknesses.
- 5. The 2011 Busan Partnership for Effective Development Cooperation sets the context for IFAD's work today. More specifically, effectively managed partnerships with carefully selected partners can enable IFAD to: (a) focus on what it is mandated to do and does best, while its partners focus on the complementary activities needed; (b) strengthen its capacity to address agricultural and rural development issues effectively and efficiently, and so better achieve its strategic objectives; (c) leverage additional resources to scale up successful approaches to achieve development impact; and (d) have greater influence as to global policy and practice for rural poverty reduction. In addition, a more strategic approach can help IFAD to get the most out of its partnerships, by recognizing both the value that it can deliver to its partners and the value that they can bring to IFAD; giving direction and selectivity to partnership development and management; and –

above all – maximizing the efficiency and effectiveness of partnerships in achieving its strategic objectives.

- 6. A strategic approach also requires that partnerships serve to support the achievement of the IFAD's already-defined strategic priorities (or corporate management results). Four categories of partnership are thus defined: for better programmes and projects; for better inputs into policy dialogue; for increased mobilization of resources; and for increased organizational efficiency. A number of specific, cross-cutting partnership priorities can also be identified: the scaling-up agenda; better assessment of the impact of IFAD-supported projects; closer engagement with the private sector; an emerging sustainable development agenda; engagement in middle-income countries and fragile or post-conflict states; and brokerage of partnerships among other development actors.
- 7. The goal of the IFAD Partnership Strategy is to enable more rural people to move out of poverty through the more selective use, and effective management, of partnerships. In order to achieve this goal, the objectives of the strategy are to enable IFAD to: (a) use its corporate strategic priorities as the basis for determining its partnership requirements, and be selective in its identification of partners; (b) develop, manage and monitor its partnerships more effectively and efficiently; (c) be a "partner of choice" for others; and (d) through its partnerships, assist other rural development stakeholders in becoming more relevant, effective and efficient.
- 8. The strategy will enable IFAD to have greater clarity about why it should enter into specific partnerships; what it wants those partnerships to achieve; and which organizations it should partner with. The strategy will also help IFAD become a better partner for others, and to identify and communicate better the value it brings to diverse partnerships. And it will enable IFAD to recognize when a partnership is not the best way to manage an institutional relationship.
- 9. Implementation of the strategy will rest on actions to be taken in seven broad areas: (i) assessing potential partners; (ii) facilitating formal partnerships; (iii) effectively managing partnerships; (iv) promoting knowledge management capturing and managing the learning from partnerships; (v) internalizing partnerships in IFAD's business processes; (vi) upgrading staff capacity and skills and strengthening IFAD's institutional culture for partnerships; and (vii) communicating for partnerships.
- 10. While partnership development and management are a diffused responsibility within IFAD, the newly established Partnership and Resource Mobilization Unit will have overall responsibility for the implementation of the Partnership Strategy. It will have three types of roles: as the overall manager of the strategy, responsible for ensuring that the strategy is being implemented and for monitoring IFAD's performance in doing so; as provider of direct support to units across the house to enable them to implement and/or broker partnerships; and as manager of specific partnerships, with particular responsibilities relative to private-sector partnership development and resource mobilization. In addition, the Communications Division will play a key role in communicating IFAD's partnership approach, both externally and internally.

# **IFAD Partnership Strategy**

# I. Background and Introduction

## The process

- In a rapidly changing and complex world, partnerships are of growing importance to 1. virtually all organizations. For IFAD, partnerships are a must. The Agreement Establishing IFAD requires IFAD (article 8) to "cooperate closely" with the Food and Agriculture Organization of the United Nations (FAO) and the other organizations of the United Nations system, as well as with international financial institutions, NGOs and governmental and intergovernmental agencies concerned with agricultural development. Today, partnerships are a central tenet of its commitment to the 2011 Busan Partnership for Effective Development Cooperation; they are critical for enabling IFAD to get to grips with complex, rapidly changing issues relative to agricultural and rural development; but above all, they are a necessity if it is to achieve greater outreach and expanded impact in terms of rural poverty reduction, with limited resources. This is not an entirely new reality, and the value of partnerships to IFAD has long been recognized. What is new, however, is the scale and urgency of the challenge and IFAD's recognition of the importance of a systematic approach to partnerships and commitment to developing this.
- 2. The origins of this strategy document lie in the Report of the Consultation on the Eighth Replenishment of IFAD's Resources. The Report recognized that partnerships are central to aid effectiveness, and to IFAD's organizational model, mission and core business. It confirmed that, during the period 2010-2012, IFAD would adopt a more systematic and strategic approach to partnerships, and it defined a number of priority areas for action. IFAD also undertook to review its existing partnerships, with a view to strengthening those that contribute to the organization's strategic objectives, and ending those that involve high transaction costs and add little value - an exercise that has been initiated as part of the process of developing this strategy. In addition, IFAD committed itself to reporting back to the Executive Board in September 2011 on the success of its efforts to develop a more selective approach to partnerships and the progress achieved in the priority areas for action. This it did, through its Information note on progress in developing a more strategic approach to partnership and collaboration,<sup>1</sup> which further committed IFAD to preparing a partnership strategy.
- 3. The importance IFAD currently attaches to partnership is reflected in the Strategic Framework 2011-2015, which highlights the need for the organization "to strengthen its capacity to lead or contribute to national and international initiatives around small-scale agriculture, food security and rural poverty reduction..." To this end, the Framework includes "effective partnerships and resource mobilization" as one of eight principles of engagement. Under this principle, the Fund will "seek partnership opportunities and enhance its capacity to operate effectively with partners...in all thematic areas and at all levels."
- 4. Most recently, the Report of the Consultation on the Ninth Replenishment of IFAD's Resources<sup>2</sup> calls on IFAD to strengthen its partnerships as a way to improve operational effectiveness and leverage impact, with particular reference to scaling up, climate change and natural resource management, and impact evaluation. It commits IFAD to further strengthen its partnerships with the Food and Agriculture Organization of the United Nations (FAO) and World Food Programme (WFP), and a range of institutions such as multilateral development banks, the Consultative Group on International Agricultural Research, bilateral development agencies, the Global Donor Platform for Rural Development, foundations, NGOs, farmers'

<sup>&</sup>lt;sup>1</sup> EB 2011/103/INF.3.

<sup>&</sup>lt;sup>2</sup> GC 35/L.4.

associations and the private sector. IFAD is also expected to intensify its engagement in global policymaking and advocacy initiatives, in particular bringing to bear the perspectives of the rural poor and smallholder farmers, including through their own organizations. The results framework for the Ninth Replenishment period also includes a new indicator on partnerships, as part of its assessment of the operational effectiveness of country programmes and projects.

- 5. The commitments made in the Report of the Consultation on the Eighth Replenishment of IFAD's Resources, and the importance given to partnerships in the Strategic Framework 2011-2015, thus provide the points of departure for this strategy. The additional commitments made in the Report of the Consultation on the Ninth Replenishment give it focus and direction.
- The preparation of the strategy involved an extensive consultation process with 6. both internal and external stakeholders. An internal policy reference group composed of staff members from 10 different units across the house was responsible for shaping the strategy, ensuring a wide variety of perspectives as well as in-house ownership. Periodic support was provided to the group by the specialist non-profit entity, the Partnering Initiative.<sup>3</sup> The recently-established Partnership and Resource Mobilization Unit was closely involved, particularly in developing the lines of action and implementation arrangements for the strategy. Discussions were also held with key staff and managers, and extensive use was made of work conducted by the Independent Office of Evaluation of IFAD (IOE) and the Brookings Institute. A benchmarking exercise, comparing IFAD's partnership practices to those of other organizations was undertaken, and an online survey of how IFAD's partners view IFAD's partnering capacity was conducted. A presentation of the draft strategy was made to an informal seminar of the Executive Board in December 2011, at which a number of valuable comments were made, and this strategy document incorporates the feedback received there.

## **Definition of partnerships**

7. The term "partnership" is frequently used in a way that is imprecise and ambiguous, and which allows for a variety of interpretations. In order to promote a common understanding of the term, it is helpful to define what IFAD intends by partnership. For the purposes of this strategy, partnerships are defined as:

Collaborative relationships between institutional actors that combine their complementary strengths and resources and work together in a transparent, equitable and mutually beneficial way to achieve a common goal or undertake specific tasks. Partners share the risks, responsibilities, resources and benefits of that collaboration and learn from it through regular monitoring and review.

- 8. This definition makes clear the basic, yet fundamental, point that a partnership is not an end in itself; it is rather a vehicle for achieving predefined objectives. And inherent in this understanding are both the notion that partnerships should be entered into only when there are specific objectives that can be better achieved through the partnership than alone, and the understanding that partnerships have a lifespan determined by those objectives. Also embedded in the definition are three principles that have been found to be critical in a wide range of partnership types:<sup>4</sup> equity, reflected in mutual respect by each partner for the other(s) and their contribution towards the partnership; *transparency*, in terms of openness and honesty among partners; and *mutual, if different, benefits* from the partnership for each partner.
- 9. The definition makes it clear that a partnership is a very specific sort of institutional relationship even if partnerships can range from the formal and structured (for example, an association) to the informal (for example, a working group). It therefore follows that there are many institutional relationships that are not

<sup>&</sup>lt;sup>3</sup> <u>http://thepartneringinitiative.org/.</u>

<sup>&</sup>lt;sup>4</sup> The Partnering Initiative (2003), The Partnering Toolbook.

genuine partnerships. For example, a contractual relationship is different from a partnership, in that a contractor and the contracted party do not share responsibility for the development and delivery of a project; instead the latter is expected to deliver services or provide goods defined by the former. A partnership is a form of collaboration, though not all collaborations are partnerships, as they may be looser, more transactional, and neither structured nor managed for achieving a common goal. Participation in a network may be a form of partnership activity, but it can as easily be an enlarged form of collaborative relationship, and as such a network may or may not be considered a partnership. The definition of partnerships can help in understanding whether a particular institutional relationship may be considered a partnership or not, though ultimately it is of more value in providing guidance for prioritizing and managing partnerships.

10. Finally, the reference in the definition to a common goal makes it apparent that the choice of the type of institutional relationship selected ought to be a strategic choice: entering into a formal partnership should be a decision driven by a strategic need relative to a prioritized objective. And thus, while partnerships are valuable in many contexts, they are not necessarily in all cases the most appropriate form of relationship between institutions. There may be many situations where either a contract, or another form of collaboration, is better suited for the objective sought of the relationship.

# **II.** IFAD's experience with partnerships

- 11. IFAD was born out of, and created as, a partnership between the Organisation for Economic Co-operation and Development (OECD), the Organization of the Petroleum Exporting Countries (OPEC) and developing countries, and partnerships have always been integral to IFAD's work. Today, IFAD works with many sorts of partners to achieve a wide range of outcomes, both operational and organizational, in support of its overall development goal that of enabling poor rural people to improve their food security and nutrition, raise their incomes and strengthen their resilience. It does so at different levels, and to a greater or lesser degree of formality.
- 12. IFAD's principal categories of partners include: its Member governments; civil society organizations particularly those representing smallholder farmers and other groups of rural people; other United Nations agencies, funds and programmes particularly, though by no means exclusively, the other Rome-based agencies (RBAs); many bilateral development agencies and international financial institutions; the Consultative Group on International Agricultural Research (CGIAR) and its member research centres; NGOs and foundations; policy research institutes and universities; regional organizations, including the regional economic commissions; and private-sector players at different levels. It also participates in a variety of partnership networks; some of these cut across the different types of partners, others are specific to international financial institutions, United Nations agencies or development agencies focused on agriculture and rural development.
- 13. IFAD acts in partnership in virtually all aspects of its work. It does so for resource mobilization for investment in smallholder development and scaling up of successful initiatives; in its country programmes and the projects it supports; for policy dialogue and advocacy; for knowledge management and innovation, and lesson learning; for communications; for enhanced organizational efficiency; and for institutional representation. It does so at different levels: its partnerships include those that are global in nature; some work at regional level; many operate at national or even local levels; and there are also those focused on organizational issues that operate at the corporate level. Some partnerships are formalized through memoranda of understanding or agreements of one form or another; however many, and particularly those at the national/local levels, are less formal and are not governed by any form of agreement. Nor do they need to be: they function effectively on the basis of long-term cooperation and established trust.

- 14. IFAD's formal partnerships with individual organizations are governed by four types of agreement, as follows:
  - The loan/financing agreements it draws up with its List B and C Member States to part-finance investment projects: it currently has approximately 230 such agreements active with 91 Member States.
  - The grant agreements for projects and activities financed under both IFAD's grant programme and supplementary fund arrangements. It currently has around 530 of these, with a range of partners (governments, NGOs, civil society organizations, international organizations, etc.).
  - Supplementary fund agreements: it currently has close to 50 such agreements drawn up with individual OECD countries, the OPEC Fund for International Development, the World Bank and the European Union (appendix I).
  - Institutional partnership agreements (see appendix II): these have expanded in number, in a relatively loose and ad hoc manner, since IFAD's establishment in 1978 and there are currently over 90 with close to 70 different institutions (United Nations agencies, multilateral and bilateral development agencies, intergovernmental organizations, etc.). They range in scope from the focused and specific (e.g. an agreement to collaborate in support of a specific project) to the vague and generic (e.g. a commitment to collaborate in broad terms). While some of these agreements are active and of value, many have fallen into disuse and others still may never have been more than a statement of good intention. A limited number incur real costs on IFAD that may outweigh their benefits.
- In addition to being an active participant in many partnerships, IFAD also draws on 15. its experience, its reputation and its networks to **broker** partnerships between different players, where it sees opportunities for win-win-win situations of benefit to both parties as well as to poor rural people. Through the projects it supports, for example, it promotes and facilitates partnerships between national or local governments and rural producers' organizations, between governments and private-sector players, or between rural producers' organizations and the private sector. It also recognizes the importance of South-South cooperation - "rural development champions from the South working directly with poverty reduction protagonists in the South to accelerate the pace of sustainable poverty reduction through a direct exchange and application of knowledge" and it has integrated South-South cooperation "into its business model - its products, its business processes and systems, its human and financial resource base, its rules and procedures, its business culture – on the basis of specific high-return opportunities."<sup>5</sup> In an increasingly complex and differentiated world, the brokering of partnerships is likely to be of ever-greater importance as a contribution to rural poverty reduction, and it is a role that offers IFAD the scope to enhance its value to governments and other stakeholders.

# **III.** Partnership performance and lessons

16. In preparing the strategy, IFAD has drawn upon a number of reviews of its performance as a partner, by IOE and the Brookings Institute in particular. A benchmarking exercise, comparing IFAD's partnership practices to those of the African Development Bank, Asian Development Bank, the International Food Policy Research Institute and WFP was undertaken as part of the Partnering Initiative's input; as was an online survey of how IFAD partners (governments, international development organizations, research organizations, NGOs and civil-society organizations) view IFAD's partnering capacity. These give a rounded view of IFAD's partnership performance to date.

<sup>&</sup>lt;sup>5</sup> South-South cooperation in IFAD's business model, REPL. IX/3/R.3, 26 September 2011.

## Findings of the Independent Office of Evaluation of IFAD

- 17. IFAD's performance in terms of its partnerships is analysed and rated in most of the evaluations conducted by IOE.<sup>6</sup> Drawing on the results of the individual evaluations conducted, the Annual Report on Results and Impact of IFAD Operations Evaluated in 2010 (ARRI) notes a gradual yet steady improvement in the rating of IFAD's performance as a partner. "The percentage of projects where IFAD's performance received satisfactory-zone ratings has increased markedly: from 39 per cent in 2002-2004 to 77 per cent in 2008-2010. ... However, the data suggest that the performance of IFAD as a partner (i) remains only moderately satisfactory in nearly half of the projects; and (ii) in close to one fourth, the Fund's performance is still moderately unsatisfactory or worse." So progress is being made, but there is still scope for significant improvement.
- 18. Among the corporate-level evaluations (CLEs), three in particular provide insights into IFAD's partnership performance. The CLE of IFAD's capacity to promote innovation and scaling up of June 2010 concluded that IFAD is focusing on partnerships for innovation more consistently and explicitly than in the past, with such partnerships found in 60 per cent of new project designs; but that, at what was a relatively early point in building up IFAD's scaling-up agenda, only around a quarter of recent projects intended to cultivate strategic partnerships for scaling up. The 2011 CLE of IFAD's Private-Sector Development and Partnership Strategy found, by contrast, that almost all of the country strategic opportunities programmes reviewed provided information about partnership opportunities with the private sector and there were some examples of cofinancing by the private sector at the project or component level. However, it also noted that partnerships with other development agencies in support of private-sector development were quite limited.
- The CLE joint evaluation of AfDB and IFAD Towards purposeful partnerships in 19. African agriculture – noted that "their partnership with governments in Africa is without doubt the most important one for IFAD and AfDB. The two agencies have developed strong relations with borrowing country governments, which find the two organizations to be reliable and trustworthy partners...neutral in their policy thinking and not involved in leveraging change through policy conditions imposed from outside." On the other hand, the CLE noted that the two agencies: "have developed a diverse, unstructured and loosely defined mix of opportunistic partnerships, which is becoming a burden on the limited resources at their disposal, especially staff time. Such partnerships emerge primarily to leverage additional resources rather than for strategic or programmatic reasons. Neither IFAD nor AfDB has a partnership policy or guidance on selecting, developing and implementing partnerships and measuring the results achieved. ...Incentives for partnership work are uncertain: neither organization has a history of establishing clear objectives for partnerships, with targeted results and tracked indicators. Nor are their partnerships clearly based on comparative advantages and specialization."
- 20. The CLE concluded that IFAD's partnership performance in Africa had been mixed, and that if it was to realize successful partnerships, IFAD needed to fulfil the following conditions: "(i) Partnership is a means to an end: partnership agreements need to set clear goals for the partnership and expectations for value-added; (ii) Selectivity is key. Partnerships need specific and bounded objectives, with one or more clear outcomes that are being tracked regularly. This requires monitoring and evaluation; (iii) Strong partnerships are dynamic in nature. They often bring a particular intensity of effort and sharpness when evolving conditions demand. This requires flexibility among partners; and (iv) Adequate resourcing, including appropriate and adequate human resources, and organizational incentives, which need to align across partners, are crucial." All of these issues are agreed to be important, and are taken up in the Partnership Strategy.

<sup>&</sup>lt;sup>6</sup> All project and country programme evaluations and some corporate-level evaluations.

## **Observations of the Brookings Institute**

- 21. Since 2009, IFAD and the Brookings Institute have been working together on the issue of scaling up, and in 2010 Brookings was commissioned by IFAD to conduct an institutional review of its approach to scaling up. Brookings' follow-up work has looked at IFAD's approach to scaling up in specific countries. Its preliminary findings suggest that at country level (and the findings are based on a relatively small sample of countries), IFAD had not seen partnerships either as an approach to leverage the outreach of a project or programme, or as a coalition-building effort to support change, or indeed as an instrument to complement IFAD's own core skills. Brookings argued particularly that IFAD had not invested sufficiently in developing partnerships with other development partners who are better placed than IFAD to engage in policy dialogue, but less well informed than IFAD about the concrete policy issues facing poor rural people. Equally, IFAD was not seeking to systematically build partnerships with like-minded donors working on the same issues, in order to build strength for an agenda within a broader group.
- 22. Brookings saw IFAD's as-yet limited partnership engagement at country level as being in part the result of a lack of understanding – of both the crucial role that partnerships play in the scaling-up agenda and the value that IFAD could bring to a range of partnerships; and in part, too, an issue of institutional culture. Specifically, it noted a clash of cultures between IFAD's focus on "hands on" project implementation support – much of which takes place outside the capital – and the capital-based processes of donor coordination involving regular and lengthy meetings for policy dialogue. It argued that if the benefits of partnerships are to be realized, IFAD staff would need to engage more consistently and effectively in networking and engagement with donor groups, and that more support would need to be provided to country programme managers (CPMs) to enable them to engage more effectively.

## Partnership survey

- 23. As part of the exercise of preparing this strategy, a range of IFAD partners were surveyed to seek their views of IFAD as a partner.<sup>7</sup> Responses were received from representatives of 55 partner organizations, categorized as follows: Member governments (15); international development organizations, bilateral and multilateral (11); research organizations, universities and knowledge platforms (11); NGOs (12); and civil society organizations (6). While it is certainly possible that the organizations that responded are likely to be more enthusiastic partners of IFAD than those that did not, the results are nevertheless positive. The survey posed 13 statements relating to IFAD's partnership performance: more than 75 per cent of respondents agreed (either somewhat or strongly) with every one of the statements. (See annex I for the full survey results, and box 1 below for some of the specific comments of respondents.)
- 24. Most encouraging, over 90 per cent of respondents agreed that: (a) IFAD respects its partners and is receptive to their views; (b) from what they could see, the contact point effectively represents IFAD's institutional attitude to the partnership; and (c) IFAD is prepared to be flexible in achieving common objectives. By contrast, in a number of areas IFAD scored less well: "only" between 70 and 80 per cent of respondents agreed (either somewhat or strongly) with the following statements: (a) the main contact point is clearly identified and accessible; (b) there is clear accountability for IFAD's actions and decisions; and (c) IFAD fulfils its commitments to the partnership in a timely manner.

<sup>&</sup>lt;sup>7</sup> The survey was developed out of the Partnering Initiative's "Good Partner Health Check" tool but tailored to IFAD's specific needs.

#### Box 1: Some of the comments of respondents to the partnership survey

"Have good and productive relations with several IFAD colleagues. I see the agency as being represented by them, and they are a credit to IFAD."

"I believe the key challenge is that there are few clear incentives in place to push partnerships at IFAD. There is little flexibility of need to pursue a partnership once a country programme is agreed. Complementing that, when people change roles prior discussions can easily be abandoned."

"We have been working closely with IFAD for a number of years, and have found it to be responsive, flexible and open."

"We particularly appreciate IFAD's commitment to poverty reduction and enhanced food security. Many other organizations simply push for economic growth that is less effective in reducing poverty and hunger. IFAD seems committed to core principles, which is great!"

"The work is being done at the last minute, meaning that the partners have to adapt; communication not always fluid within the organization."

"The work by IFAD is of a good quality, but the only problem is some perception of understaffing in the IFAD secretariat."

"There is no clear accountability for IFAD's decisions and procedures."

"IFAD demonstrates a constant willingness to reach out to its partners and listen to their concerns to improve its work."

"IFAD strives to achieve the results of these statements, however, its performance is determined by the actions of individuals, particularly CPMs and there is considerable variability in their capacity to achieve these results."

- 25. There were no substantial differences in the responses of different categories of partners, even if the most critical of IFAD's performance were the international development organizations. However, even with this group, the lowest scores achieved were between 60 and 70 per cent agreement, for the statements: IFAD regularly attends and plays an active role in partner meetings (a similar observation to that of the Brookings Institute); and IFAD fulfils its commitments to the partnership in a timely manner.
- 26. In short, overall IFAD scores best in areas related to respect and flexibility, least well in areas of accountability and timeliness of response; and it is least effective in its partnerships with other international development organizations. IFAD's priority areas for attention would thus include: ensuring identifiable and accessible contact points; fulfilling its commitments in a timely manner; demonstrating clear accountability for its actions; communicating in an open and honest way; and not seeking to dominate decision-making. Each of these issues is addressed in the strategy.

## Benchmarking and learning from the experience of others

- 27. Another element of the strategy development process was the conducting of a simple benchmarking exercise, aimed at understanding how organizations working in a similar environment to IFAD are facing and responding to similar challenges. The benchmarking can provide IFAD with both an insight into what comparable organizations are already doing and an understanding of the potential options that it might choose to pursue through the Partnership Strategy.
- 28. Four organizations were selected for benchmarking, all of them important partners for IFAD: WFP, as one of the RBAs; the Asian and African Development Banks, international financial institutions with similar business models to that of IFAD; and the International Food Policy Research Institute (IFPRI), one of the members of the CGIAR that, as a result of the CGIAR's reorganization, has given much consideration to partnership issues over the past few years. All four of the benchmarked organizations were happy to respond to IFAD's request, and were open in explaining the progress they are making and the challenges they face in developing

and implementing their partnership strategies. In table 1 below, the main characteristics of the benchmarked organizations are summarized.

#### Table 1

#### Summary of main characteristics of benchmarked organizations

|  | African Development<br>Bank   | Asian Development<br>Bank  | International Food<br>Policy Research<br>Institute   | World Food<br>Programme  |
|--|---|--|--|--|
| Partnership<br>strategy                        | YES - based on<br>improving procedures<br>and agreements  | IN PART - partnership<br>is one of five core<br>elements of overall<br>2020 Strategy and<br>AsDB has a financing<br>partnership strategy | YES - new explicit<br>partnership strategy<br>just developed   | <b>NO but</b> partnership is part of the corporate strategy                                |
| Typology or definition                         | <b>YES -</b> typology based<br>on purpose (but no fixed<br>definition)                              | YES but not formally -<br>website has basic<br>typology and basic<br>definition  | <b>YES -</b> definition and typology based on role   | <b>YES -</b> classification<br>based on sector and<br>type (complementary,<br>cooperating) |
| External visibility                            | YES - clear website<br>links and access to main<br>ORRU booklet                                     | <b>YES -</b> 'Partners' as a website category and good links   | YES - but limited at present   | YES - website and<br>Handbook for NGO<br>partners  |
| Tools and<br>criteria for<br>engagement        | <b>YES -</b> for formal agreements – written process for approval                                   | YES - within<br>Operational Manual<br>but mainly for<br>cofinancing  | <b>YES</b> - criteria for<br>engagement are clear;<br>tools depend on<br>objective                                       | <b>YES -</b> for NGOs and private sector   |
| Agreement<br>template                          | YES - standard templates  | YES - for cofinancing  | YES and established route for development  | NO   |
| Partnership<br>evaluation                      | <b>NO -</b> currently only reviewing outputs  | YES - when requested by donor  | <b>YES -</b> as part of new strategy, all projects and contributions of partners will be constantly evaluated            | <b>NO but</b> 2011 strategic evaluation of partnerships                                    |
| Dedicated<br>partnership<br>unit               | <b>YES -</b> The Partnerships<br>and Cooperation Unit<br>(ORRU) as a central<br>'hub' for expertise | <b>YES -</b> Office of<br>Cofinancing<br>Operations (OCO) is<br>the institutional point<br>of contact.                                   | <b>YES -</b> Partnership,<br>Impact, and Capacity<br>Strengthening Unit<br>(PIC) created in 2010                         | NO   |
| Specialist<br>expertise on<br>partner<br>types | <b>YES -</b> within ORRU focal points for each main sector/relationship                             | <b>YES -</b> Private Sector<br>unit, technical<br>handbook on PPPs<br>and useful evaluation<br>material, plus OCO                        | YES - within PIC but<br>also in ongoing<br>research programme<br>on Public Private<br>Partnerships                       | <b>YES -</b> units for NGOs,<br>Private Sector;<br>Government Handover                     |
| Regional<br>specialist<br>staff                | <b>NO but</b> this is part of future planning   | <b>IN PART -</b> cofinancing<br>anchor network<br>located in resident<br>missions/field offices  | NO   | NO   |
| Training or<br>performance<br>appraisal        | <b>YES -</b> specialist training<br>for ORRU staff but not<br>more widely                           | <b>YES -</b> OCO<br>knowledge and<br>capacity building unit<br>for training purposes   | <b>YES -</b> project staff get<br>preparation for their<br>tasks and for working<br>in new countries and<br>partnerships | <b>YES -</b> skills training<br>and competency<br>assessment for senior<br>staff           |

ORRU: Partnerships and Cooperation Unit; OCO: Office of Cofinancing Operations

- 29. The broad conclusions of the benchmarking exercise can be summarized as follows.
  - (a) For all of the benchmarked organizations, partnership is considered an important issue, and it receives high profile, both in strategy documents and on their public websites. In some cases, opportunities for potential partners are clear and well signposted.
  - (b) There is no single policy for partnerships: the organizations use a range of approaches in response to the challenge of expanding and strengthening partnership activity.
  - (c) Definitions of partnerships tend to be simple or very loose, yet all the organizations have defined a typology of partnerships, which can be by

sector, function or purpose. In all benchmarked organizations, partnerships with the private sector are a particular priority.

- (d) There is a trend towards much clearer criteria for engagement in partnerships, the development of tools, and the use of model or template agreements to define how the partnerships should be organized.
- (e) While partnership evaluation is not yet standard practice in any of the benchmarked organizations, there is growing recognition of the importance of evaluation and they are all moving in this direction.
- (f) There are different approaches in terms of responsibilities for partnership development and management: in two of the organizations partnership expertise is concentrated into a dedicated partnership unit (which can have either a decision-making role or one of providing expertise to decision makers without exercising control), while in the other two it is dispersed into specialist sectorial units. There are specialist staff for partnerships at the headquarters of the benchmarked organizations, but this is not yet devolved to region/ country level.
- (g) Approaches to capacity-building vary: some of the organizations have started to provide training to staff, and in one, "building partnerships" is considered a leadership competency for senior staff.

#### Box 2. Examples of good partnership practices by benchmarked institutions

- The AfDB has developed and uses standard memoranda of understanding (MOUs) for different types of partners one for bilateral, one for multi-donor; and has different templates for cooperation agreements and partnership MOUs.
- The AsDB makes its policies visible and accessible: its website has "Partners" as a category two clicks from the home page (under "About ADB") and with links to policy documents, including the Operations Manual.
- IFPRI is developing a new partnership strategy, which will include a clear and defined approach for reviewing and evaluating partnership processes.
- WFP provides tailored guidance for building and managing partnerships with each main partner type: it has an NGO Partnerships Unit and a Private Sector Partnerships Unit, while issues around government relations and transition of WFP activities to national governments are handled by a Partnerships and Handover Unit.
- 30. It is clear that while AfDB, AsDB, IFPRI and WFP all face common challenges, there are no "correct" solutions, and the four have all developed different, organization-specific approaches to respond to these challenges. Each of the organizations has areas in which it excels (see box 2), as well as areas in which more progress is needed. IFAD can build on the lessons of these organizations in each of the areas discussed above, to craft its own solutions relevant to its mandate and context and consistent with its organizational structure and resources. Developing a solution appropriate (and distinctive) to IFAD goes hand-in-hand with developing a clearer vision of IFAD's strategic use of partnership and its unique value to its partner organizations.

# IV. IFAD's strengths, weaknesses, opportunities and threats

31. The findings of IOE and the Brookings Institute, the views of external partners expressed through the partnership survey and elsewhere, a benchmarking exercise, and a series of interviews and focus group meetings conducted during the preparation of this strategy document, have together served to offer up a well-defined picture of IFAD's partnership performance. On the basis of these sources, IFAD's strengths, weaknesses, threats and opportunities (SWOT) relative to

partnerships have been synthesized; and these provide an important starting point for the development of an IFAD partnership strategy rooted in institutional realities. The SWOT analysis is summarized in table 2 below.

#### Table 2

IFAD and partnerships: Strengths, weaknesses, opportunities and threats

| Strengths  | Weaknesses  |
|--|---|
| <ul> <li>High level of cofinancing in IFAD country programmes</li> <li>Strong partnerships with many Member States – particularly from Lists A and C</li> <li>Innovative partnerships – e.g. public-private partnerships in Uganda, Sao Tome; Spanish Food Security Cofinancing Facility Trust Fund</li> <li>Unique partnerships with rural producers' organizations – Farmers' Forum, regional and country levels; and with indigenous peoples' organizations</li> <li>Long-term commitment to countries makes IFAD trusted as partnership broker by governments</li> <li>Comparative advantage recognized by potential partners – positive interest in partnering with IFAD</li> <li>Good (and improving) rating from IFAD partners in ARRI</li> <li>Partnership responsibilities diffused across the organization</li> <li>IFAD perceived as respecting its partners, and being flexible</li> </ul> | <ul> <li>Lack of common institutional vision of partnerships</li> <li>Non-strategic, ad hoc development of partnerships, without assessment of benefits and costs</li> <li>Partnerships not consistently well managed, lack of coherent management processes, and weak accountability for management</li> <li>Weak monitoring of existing partnerships</li> <li>Low institutional visibility, limiting outreach to potential partners</li> <li>Partnerships with Member States uneven: e.g. insufficient attention to partnerships for some List B /transforming List C Member States</li> <li>A limited number of IFAD partnerships bring limited benefits relative to their costs</li> <li>Limited country presence to sustain country-level partnerships, and insufficient focus on doing so</li> <li>Lack of, or slow responsiveness may hinder good partner relations</li> </ul> |
| Opportunities  | Threats   |
| <ul> <li>Aid Effectiveness agenda leads more rural development stakeholders to explore partnering options</li> <li>Potential to expand/update partnerships with e.g. the private sector, RBAs, List B/ transforming List C Member States</li> <li>Scope to draw on lessons learned from past successes and failures</li> <li>Possible to build a common institutional vision of partnerships and their value</li> <li>Strategic approach can guide partnership efforts</li> <li>Partnership and Resource Mobilization Unit as champion for partnership efforts.</li> <li>Country offices create new opportunities for partnership development</li> <li>Opportunity to become partner of choice for agriculture and rural development/investment community</li> </ul>   | <ul> <li>Lack of effective partnership policies and tools will limit<br/>IFAD's ability to achieve its strategic objectives</li> <li>Lack of partnership incentives at all levels in some other<br/>institutions can make partnering difficult</li> <li>IFAD seeking to fill same partnerships "space" as other<br/>competing development agencies</li> <li>IFAD unable to show others that it can be a preferred<br/>partner for them, through results achieved, "IFAD brand",<br/>partnership approach, as well as marketing of all these</li> <li>Lack of staff understanding of the importance of range of<br/>partnerships and lack of capacity to develop and manage<br/>them effectively</li> </ul>  |

- 32. The SWOT analysis brings out a number of interesting points for IFAD's developing partnership strategy and provides insight into how IFAD might best proceed in order to optimize the use of its resources in partnering. At this stage it is worth highlighting two of these: IFAD's "brand awareness" and IFAD's management and monitoring of ongoing partnerships. With regard to the former, it appears that although IFAD enjoys a good reputation in many areas for its consistency and long-term vision, there are still problems in distinguishing the value of working with IFAD rather than other development agencies. In a context of growing competition between funding agencies, there will be a premium on IFAD's ability to develop a distinctive vision of the value it offers and to communicate this to its potential partners.
- 33. The latter issue of managing and monitoring partnerships requires a change both of mindset and of systemic practice. Attitudinally, IFAD needs to maintain a focus on the partnerships it establishes, recognize the value of learning from past

and current partnerships and share learning more freely within the organization. Systemically, monitoring and review need to be more proactive and to be seen as an integral part of managing ongoing partnerships so that they are able to evolve and prosper.

# V. Developing a strategic approach

## Rationale

- 34. In a rapidly changing and complex world, partnerships are of growing importance to virtually all organizations, in the private as well as the public sector. More specifically, partnerships are increasingly recognized to be critical for effective development cooperation; this in a context of an increasingly complex international aid architecture, characterized by a greater number of state and non-state actors, as well as cooperation among countries at different stages in their development. Setting the tone, the outcome document for the recent (2011) Fourth High Level Forum on Aid Effectiveness is entitled the Busan Partnership for Effective Development Cooperation. It commits its signatories to a set of shared principles, among them inclusive development partnerships characterized by openness, trust, mutual respect and learning, and a recognition of the different and complementary roles of all actors. For IFAD, as a central member of the international development community, development partnerships are a must.
- 35. This is the environment within which IFAD works. But how can partnership approaches help IFAD to respond to the specific challenges it faces? There are four parts to a response to this question.
- 36. The first is that agricultural and rural development issues are complex and multifaceted. They are also changing rapidly. IFAD needs to understand how to address them effectively, and this requires new, knowledge-intensive ways of working, drawing on broad or multisectoral support and the best available expertise. This can often be best pursued through partnerships, with each partner bringing its particular experience and knowledge to the partnership in pursuit of a common learning agenda. Rural development issues are also by definition crosssectoral, and here partnerships with other organizations that have expertise or a comparative advantage in areas that complement those covered by IFAD's mandate can provide comprehensive solutions for rural poverty reduction.
- 37. Second, under the Ninth Replenishment period (2013-2015) IFAD has committed itself to achieving broader outreach and greater impact in terms of rural poverty reduction, with limited resources. If it is to have the expanded impact it seeks, it is a must that IFAD work in partnership with other development actors that can assist it in scaling up innovative, successful solutions for rural poverty reduction –through both increased levels of investment and the creation of an enabling rural policy environment at all levels that supports pro-poor investment and growth.
- 38. Third, IFAD has a key role to play, bringing its knowledge and experience to bear on global policy dialogue on issues related to smallholder agriculture and rural poverty reduction, with a view to creating an enabling policy environment for poor rural people and enhancing their ability to work their way out of poverty. Partnerships with other organizations and entities with common interests are an essential tool in such dialogue, in order to build common positions, political coalitions and a consensus for change.
- 39. Fourth, IFAD needs to improve its organizational efficiency and effectiveness. For example, partnerships for shared administration and management services with the other RBAs can contribute directly to this objective by enabling IFAD to reduce its costs; while the lessons it can learn from partners facing similar challenges can serve as a springboard for it to adopt new internal tools and processes, which in turn contribute to improved operational outcomes.

- 40. In this context, effectively managed partnerships with carefully selected partners can enable IFAD to: (a) focus on what it is mandated to do and does best, while its partners focus on the complementary activities needed; (b) strengthen its capacity to address agricultural and rural development issues effectively and efficiently, and so better achieve its strategic objectives; (c) leverage additional resources to scale up successful approaches to achieve development impact; and (d) have greater influence as to global policy and practice for rural poverty reduction.
- 41. In addition however, a more strategic approach can help IFAD to get the most out of its partnerships, by: (a) recognizing both the value that it can deliver to its partners, and the distinctive value added by partners from different sectors;
  (b) being more selective, and giving focus, direction and guidance to partnership development and management; and, above all, (c) maximizing the efficiency and effectiveness of partnerships in achieving its strategic objectives.
- 42. However, IFAD works with so many different partners, for so many different purposes, that a single organizational strategy with a one-size-fits-all approach may add little value. Instead, there is need for a flexible, strategic approach that provides guidance and principles based on recognized good practice yet avoids being a bureaucratic straitjacket, and can be used as the platform on which different types of partnership can be effectively developed and managed, in a range of contexts and to achieve diverse objectives. The Partnership Strategy seeks to respond to this requirement and to provide guidance and support to the organization and its staff, rather than constraining them.
- 43. At the same time, it is recognized that a partnership strategy will have its limits. Here, there are two key points. First, partnering is not a panacea, and there may be many cases in which partnerships are not the most appropriate form of institutional relationship. Depending on the outcome sought, formal delivery contracts, or at the other extreme, looser forms of collaboration may be more appropriate in many cases. One of the key commitments made in the Report of the Consultation on the Ninth Replenishment of IFAD's Resources is that IFAD "shall make every effort to mobilize additional resources for agricultural development, and shall pursue this vigorously in the IFAD9 period by exploring other sources of financing." While doing so will be a priority for the organization, it will not necessarily be through partnerships that this objective will be realized. Some resource mobilization will certainly involve partners as defined here; but equally some other activities will be based on contractual relationships.
- 44. Second, the most important set of partners for IFAD are its Member governments; yet management of those partnerships goes beyond the scope of a partnership strategy. It is at the heart of IFAD's operational work, and it is based on the full array of business processes associated with the development and management of country programmes and projects, and the resources, human and financial, applied to them as well as the Partnership Strategy.

## Partnerships to serve strategic priorities

45. At the highest level, partnerships must serve to support the achievement of IFAD's overall strategic goal: enabling poor rural people to improve their food security and nutrition, raise their incomes and strengthen their resilience. More specifically, they must support the corporate strategic priorities that are expected to contribute to the achievement of the goal, and are reflected through a series of organizational-level results – corporate management results (CMRs). There are currently 10 such CMRs. Defining partnerships in this way provides strategic guidance to IFAD's partnership work and ensures that it is focused on what the organization has already determined are its highest priorities; it provides a framework for monitoring partnership efforts and, at the same, it reinforces the coherence of, and linkages between, different corporate strategies and tools.

46. Thus four categories of partnerships can be identified, each of them derived from and defined relative to selected CMRs. The first category focuses on better country programmes and projects (including national-level policy dialogue) – specifically better country programme management (CMR1), better project design (CMR2) and better supervision and implementation support (CMR3); the second, on better inputs into global policy dialogue for rural poverty reduction (CMR8); the third, on increased mobilization of resources for rural poverty reduction (CMR10); and the fourth, on improved organizational efficiency – specifically better financial resource management (CMR4), better human resource management (CMR5), better results and risk management (CMR6), and better administrative efficiency and an enabling work and information and communication technology environment (CMR7).

| Table 3 | Та | bl | е | 3 |
|---------|----|----|---|---|
|---------|----|----|---|---|

| Partnership objectives                               | Areas for partnerships/<br>examples   | Levels of<br>partnerships | Main partners   |
|--|---|---------------------------|---|
| 1. Better programmes and<br>projects (CMR 1-3)       | Private sector development,<br>rural finance, climate change,<br>KMI, nat. level policy dialogue,<br>scaling-up, CAADP* | National,<br>regional     | Member governments, rural<br>producers' organizations, NGOs,<br>private sector, development<br>partners, United Nations<br>agencies |
| 2. Better inputs into global policy dialogue (CMR 8) | G-8, G-20, post-2015<br>development agenda, CFS,<br>Global Donor Platform on Rural<br>Development                       | Global, regional          | International organizations,<br>research organizations, member<br>governments   |
| 3. Increased mobilization of resources (CMR 10)      | Supplementary funds, Spanish<br>Trust Fund  | Global                    | Member governments, inter-<br>governmental organizations,<br>foundations  |
| 4. Improved organizational efficiency (CMR 4-7)      | RBA joint procurement, United Nations joint pension plan  | Corporate                 | IFIs, United Nations agencies   |

\* The Comprehensive Africa Agriculture Development Programme.

- 47. Within this context, a number of clear priorities, some of them cutting across the different partnership objectives, can also be identified. First is the necessity to scale up. As both the Strategic Framework 2011-2015 and the Ninth Replenishment document make clear, if IFAD is to achieve its ambitious goals in terms of rural poverty reduction, it needs to treat the scaling up of successful approaches and innovations as "mission-critical", by ensuring systematic attention to scaling up in country programme development and management. Effective partnerships are a prerequisite for scaling up: the issue is relevant to partnerships for better programmes and projects, for better inputs into global policy dialogue and for increased mobilization of resources. It will be a house-wide partnership priority, as partners include Member governments, development agencies and private-sector actors.
- 48. Second, IFAD must improve its capacity to assess the impact of the projects it supports and learn from their experience. Better programmes and projects reach more poor rural people and enable more of them to move out of poverty, with less resources used per person moved out of poverty. IFAD has to gain a clearer idea of how to do this: it needs to know what works and what works less well in terms of rural development approaches, and how cost-effective alternative approaches are. And it needs to share that knowledge with its partners. A linked concern is IFAD's requirement to report on its expanded development impact under the Ninth Replenishment period: to do this, it needs to be able to assess how many poor rural people it enables to move out of poverty. And as IFAD places ever-greater importance on policy dialogue at both national and global levels to create an enabling environment for rural poverty reduction, so it must improve the empirical basis for its policy dialogue: understanding the effects and impacts of the projects it finances as a precondition for this. Partnerships with organizations that are

specialized in conducting a range of different sorts of impact assessment, and can enable IFAD to draw out lessons in terms of cost-effective impact assessment methodologies, will be critical for pursuing this agenda.

- 49. Third, the need to engage more closely with the private sector is highlighted in both the Strategic Framework 2011-2015 and the Report of the Consultation on the Ninth Replenishment as being critical for increasing IFAD's operational effectiveness, and its approach is outlined in the IFAD Private-Sector Strategy.<sup>8</sup> IFAD is committed to: engaging private-sector actors more systematically in country- and project-level programming to raise their pro-poor and sustainable investments in rural areas; using its engagement in policy dialogue to promote a more conducive rural business environment that enables smallholders and the rural poor to gain better access to markets and value chains; and increasing information and communications technology activities in IFAD-supported programmes. Expanded partnerships with private-sector players are a prerequisite to pursuing this agenda.
- 50. Fourth, a sustainable development agenda is already an important aspect of IFAD's business, and as the post-2015 development agenda is defined, it is likely to become all the more so. This is a rapidly evolving agenda that takes in a range of issues of critical importance to smallholder farmers, including sustainable natural resource management, markets for ecosystem services, strengthened resilience to the effects of climate change and renewable energy generation; and it will demand a range of partnerships for better programmes and projects, and for better inputs into global policy dialogue. Key partners are likely to include the other RBAs; the CGIAR and its constituent research centres; an array of bilateral and multilateral development organizations; and knowledge centres and platforms particularly those with skills in issues associated with environmental sustainability and climate change.
- 51. Fifth, partnerships are a necessity for IFAD to have substantive engagement in certain types of countries. Particularly in middle-income countries, where the financial products that IFAD has available to offer the governments may be of limited interest, IFAD needs to work in partnership with other development financiers both public and private to come up with financial and policy instruments or packages that are attractive to the governments. This will become an ever-more pressing concern in the years to come. At the other extreme, in fragile or conflict-affected countries, partnerships with other development financiers can enable IFAD to develop responses more consistent with needs, in terms both of scale and of supporting non-government players NGOs and civil society organizations directly rather than through governments.
- 52. Finally, as described in paragraph 15 above, IFAD can offer substantial value added acting as a broker/facilitator of partnerships between other development actors, in pursuit of better programmes and projects and better inputs into policy dialogue, for example between governments and other governments; governments and the private sector; governments and farmers' organizations; and the private sector and farmers' organizations. Here IFAD's role is one of encouraging the different players to see the potential of partnership; of creating the space for partnerships to be established; and of supporting the capacity of the different players particularly, though not exclusively the farmers' organizations to engage in partnerships and so make them better partners for the other players. Such a role will be considered a priority for IFAD under the Partnership Strategy.

<sup>&</sup>lt;sup>8</sup> Document EB 2011/104/R.4/Rev.1.

# VI. The Strategy

## Goal and objectives

- 53. **The goal** of the IFAD Partnership Strategy is to enable more rural people to move out of poverty through the selective use, and effective management, of partnerships.
- 54. In order to achieve this goal, **the objectives** of the strategy are to enable IFAD to: (a) use its corporate strategic priorities as the basis for determining its partnership requirements, and be selective in its identification of partners; (b) develop, manage and monitor its partnerships effectively and efficiently; (c) be a partner of choice for others; and (d) through its partnerships, assist other rural development stakeholders to become more relevant, effective and efficient.
- 55. The strategy will enable IFAD to have greater clarity about why it should enter into specific partnerships; what it wants those partnerships to achieve; and which organizations it should partner with. It will help IFAD to become a better partner for others, and to identify and communicate better the value it brings to diverse partnerships. The strategy will provide clarity as to how IFAD should go about developing, managing and monitoring its partnerships; and when and why it should end partnerships. It will also enable IFAD to recognize when a partnership is not the best way to manage an institutional relationship. The strategy identifies which units in IFAD will be responsible for the various partnership-related activities; however it does not seek to centralize responsibility for the development and management of individual partnerships or to make these activities more bureaucratic. On the contrary, it seeks to facilitate partnership work by offering guidance and support to the managers of partnerships across the organization.

## **Principal lines of action**

- 56. Implementation of the strategy will rest on actions to be taken in seven broad areas.
- 57. **Assessment of potential partners**. IFAD will develop a checklist for partnership assessment, which will enable those staff members looking to create partnerships to ask systematic questions of any potential partner, so as to ensure a good fit with the (already defined) goals and requirements of the partnership. It would be used as a starting point for exploring a potential relationship by providing a basis for frank discussions with the key players involved; it would not be intended to provide definitive "screening" nor would it replace any formal due diligence or risk assessment process. The questions will focus both on the prospective partner itself, covering issues such as the mandate/agenda, track record and reputation, skills and competencies that complement IFAD's, management and governance structures, financial record and on the proposed partnership, identifying clearly the expected outcomes, likely costs and associated risks. Using such a checklist to establish dialogue at an early stage will, among other things, enable IFAD to be clear about when partnership is not the right option, when for instance the partner is not suitable for the goals of the proposed partnership, or a partnership (rather than, say, a contract) is not the best way to achieve the goals defined.
- 58. **Facilitation of formal partnerships**. Drawing on best practice for partnerships and its own experience, IFAD will draw up a model for drafting partnering agreements – similar in scope to those that already exist for loan, financing and grant agreements. This will provide a point of departure for staff looking to develop a formal partnership (though it will not be a template and it will not be used to formalize informal partnerships if there is no added value in doing so); and it will also give potential partners an idea of the issues of importance to IFAD.
- 59. The model will provide clarity about the objectives of the partnership; the contributions, roles and responsibilities of the different partners; the structures and procedures to be established for coordination and administration, decision-making and assuring accountability; the resources to be provided by the partners to the

partnership and the activity; the rules governing the intellectual property resulting from the partnership; approaches for communicating the partnership; the opportunities and arrangements for joint reviews, revisions and audits; and a clear exit strategy for when the partnership has achieved (or cannot achieve) its goals. This will help to focus the partnership and identify potential stumbling blocks, reduce the transaction costs of drawing up an agreement, promote transparency and accountability, and create a joint learning agenda through the partnership.

- 60. **Effective management of partnerships**. Preconditions for effective partnership management are: first, ensuring clarity as to who within IFAD is responsible for managing specific partnerships – and maintaining that clarity even as individuals change positions within the organization; and second, streamlining internal processes to ensure responsiveness to partners and avoid delays in addressing partners' concerns. Both these areas will be worked on under the strategy. In addition, since partnerships evolve over time and require flexibility in their management, IFAD will develop a scorecard for reviewing ongoing partnerships. This will provide a basis for assessing partnership performance against the defined objectives, which can in turn make possible informed decision making as to whether to extend or close individual partnerships. There is specific need for IFAD to review its large number of existing partnerships relative to their contribution to IFAD objectives, modify them as appropriate, re-activate dormant agreements where warranted, and discontinue those that incur high costs or whose costs outweigh the benefits they bring. This exercise will be completed as an immediate priority.
- 61. **Knowledge management.** IFAD will strengthen its ability to capture and manage the learning from partnerships. On the one hand, this will demand the regular monitoring and review of ongoing partnerships by the managers of the individual partnerships; while on the other, it will require the establishment of a knowledge management function and responsibility in the Partnership and Resource Mobilization Office (PRM). The knowledge management agenda will draw on the monitoring and review work by managers of individual partnerships, to focus on issues such as the strategic focus of IFAD's partnerships; their effectiveness and efficiency; the strengths and weaknesses of IFAD's major partners; IFAD's partnership performance and areas for improvement; perceived gaps in skills and knowledge; and areas of misunderstanding based on divergent organizational cultures.
- 62. **Internalize partnerships in IFAD's business processes**. IFAD will explore the opportunities to internalize partnership development and management in IFAD's core business processes rather than considering them as additional and separate processes. There is particular need to review those processes related to IFAD country programmes and IFAD-supported projects, and to IFAD's grants programme, and confirm whether or not they give adequate priority and focus, and offer appropriate institutional incentives, to partnership-building and management.
- 63. Upgrade staff capacity and skills and strengthen IFAD's institutional culture for partnerships. IFAD will enlist and develop expertise within PRM to champion its partnership strategy and provide relevant support to staff across the organization. It will develop short and tailored modules and events for training key staff, both within PRM and across the house. Such training will (a) seek to promote understanding of the value and importance of partnerships for achieving IFAD's strategic objectives, in different contexts; (b) promote behavioural change consistent with the necessity to strengthen partnership efforts; and (c) enable staff to develop a relevant range of partnership-related skills.
- 64. **Communication for partnerships**. There is a substantive communication dimension to the Partnership Strategy. Becoming a partner of choice means making it easy for others to understand what it is they can expect from partnering with IFAD and to recognize IFAD's comparative advantages, including its extensive partnership experience with governments, civil society organizations and other

United Nations agencies (as outlined in section II). It also means conveying to CPMs and other staff the advantages, for them, of working within a partnership model. To help potential partners understand the benefits of partnering with IFAD, the Communications Division (COM) will develop external communications products – comprising web, print, photography and video messages – based on compelling information and case studies that build a distinctive brand identity and spotlight the organization's unique strengths as a partner. To help staff recognize the advantages of working within a partnership model, COM will use the Intranet and other internal communication platforms and tools to show how greater productivity and resources can be leveraged from partnerships to help IFAD meet its poverty reduction goals. Along with PRM, COM will also strengthen the communication skills of staff to ensure that they can clearly articulate the value that IFAD brings to partnerships.

## Implementation responsibilities

- Partnership development and management are a diffused and decentralized 65. responsibility within IFAD, and the Partnership Strategy will not change this. Within the context of this strategy, individual units across the organization will continue to be fully responsible for the partnerships that they enter into as a means to better achieve the corporate management results reflected in their workplans. What will change is that they will be able to draw on the tools and support provided through the Partnership Strategy, and these will - in essence - assist them in developing, managing and monitoring their partnerships more effectively and efficiently. However, overall responsibility for ensuring the implementation of the Partnership Strategy, as defined in terms of the lines of action described above, will lie with the newly established PRM. In addition, COM will play a key role in building awareness of IFAD's partnership approach, both externally to potential and actual partners, and internally to staff. External and internal messages on partnership will be visible, clear, tailored to the target audiences and easily accessible and will communicate the results, successes, challenges and discoveries of IFAD's ongoing, long-term work with its partners.
- 66. PRM will have three specific roles relative to the strategy. First, it will be the overall manager of the strategy. It will be responsible for ensuring that action lines of the strategy are implemented, and it will monitor and assess IFAD's performance in doing so. It will also build IFAD's institutional culture, capacity and knowledge for its partnership performance (though it will not be accountable for the partnership performance of individual units). Acting as a resource for the whole organization, it will provide expert guidance on working with different partners; offer technical support and capacity-building; collect and analyse data on partnerships; and capture learning from partnerships. Second, it will directly support units across the house to enable them to implement and/or broker partnerships. It will use its expertise and knowledge to provide a clear and accessible point of contact for anyone seeking immediate support and guidance on developing and managing partnerships. Third, it will be a manager of specific individual partnerships, with particular responsibilities relative to private-sector partnership development and resource mobilization.
- 67. As a first step, PRM will work with other departments, on one hand to establish clear divisions of responsibilities and accountabilities relative to the Partnership Strategy and to specific types of partnerships; and on the other to identify opportunities and processes for collaboration between PRM and other parts of IFAD.
- 68. Such an approach will avoid both creating a bureaucratic unit with control over the creation, approval or management of partnerships, and imposing a single, rigid model of what partnership should be. Instead, PRM will actively work in close collaboration with other units to ensure that resources both financial and human are used in support of partnerships selectively, strategically, effectively and efficiently, in key areas. This approach will ensure that (a) through devolved responsibility partnership remains everyone's responsibility; (b) there is room for

innovation and diversity within a clear framework of good practice; (c) IFAD has a single source of partnership knowledge, which is easily identified and accessed; and (d) accumulated learning from partnerships can be centrally captured and managed.

69. The role identified for PRM is not one that it is yet fully equipped to play. It is a newly created unit, and its structure and capacity are still being built. However, the Partnership Strategy will provide an orientation and focus to PRM's work, and as such guide its work planning; while its evolving organization, skills mix and capacity development activities will all be geared towards the role it is expected to play.

## Managing risks

- 70. The strategy is explicitly intended to address the risks associated with the current approach, characterized by the lack of a common institutional vision of partnerships; ad hoc and uneven development of partnerships; inconsistent partnership management; weak monitoring and learning; and limited outreach to potential partners. The potential risks associated with this strategy thus result from its non-implementation. They include the following.
  - The risk that PRM is not able to ensure the effective implementation of the strategy.
  - The risk of non-implementation by other organizational units. Partnership development is an activity in which many parts of the organization already engage, and individuals may question the value added of a strategy for their work.
  - The risk that larger bureaucratic procedures limit IFAD's ability to become a better partner for other organizations.
- 71. These risks will be mitigated by:
  - Building the capacity of PRM to manage the strategy.
  - Ensuring that the benefits to staff of the strategy outweigh any potential costs: that it supports and facilitates their work rather than constraining or bureaucratizing it.
  - Effectively communicating the strategy both to staff across the organization and to potential partners.
  - Ensuring staff, and partners, have sufficient understanding and skills for effective partnership development.
  - Refining and using IFAD's business processes to anchor the partnership strategy in the day-to-day work of staff.
  - More effectively monitoring and reporting on partnership performance.

## Measuring results

72. Measurement of results achieved in terms of partnerships is already under way. IFAD has established targets for partnerships using project cofinancing as a partial indicator, on which, since 2009, it has reported results to the Executive Board annually through the Report on IFAD's Development Effectiveness. For the Ninth Replenishment period, it has established a cofinancing ratio target of 1:1.6. In addition, the results framework for the Ninth Replenishment period (2013-2015) includes a new indicator for assessing partnership performance at country level, based on the perspective of IFAD's partners in each country, as part of its measurement of the operational effectiveness of country programmes and projects (level 4). Collection of data against this indicator will start in 2012. A further set of partnership results associated with the IFAD Private-Sector Strategy are defined in that document. 73. The results expected to be achieved through the implementation of the Partnership Strategy are defined in the attached results measurement framework (annex II). Derived from the goal and objectives of the strategy, they focus on the action areas defined above, and will provide the basis for part of the PRM workplan. A preliminary set of indicators and their associated means of verification have also been identified. Other results relative to partnerships will be measured as part of PRM's knowledge management function.

|   | Strongly agree |  |
|---|----------------|--|
| 6 |                |  |

|  | Strongly disagre | ■ Disagree somewhat | Agree somewhat Strongly agree |
|--|------------------|---------------------|-------------------------------|
| 1. IFAD respects its partners and is receptive to their views                                | 4% 6%            | 42%                 | 49%                           |
| 2. IFAD does not seek to dominate the decision-making process                                | 4% 11%           | 56%                 | 30%                           |
| 3. IFAD abides by agreed protocols on communication and decision-making                      | 4% 8%            | 46%                 | 42%                           |
| 4. The main contact point is clearly identified and accessible                               | 4% 20%           | 18%                 | 58%                           |
| 5. The contact point effectively represents IFAD's institutional attitude to the partnership | 2%4%             | 47%                 | 47%                           |
| 6. IFAD responds in a timely manner to requests for information                              | 11% 6%           | 38%                 | 45%                           |
| 7. IFAD communicates in an open and honest way   | 6% 11%           | 31%                 | 52%                           |
| 8. There is a clear accountability for IFAD's actions and decisions                          | 4% 17%           | 42%                 | 38%                           |
| 9. IFAD regularly attends and plays an active role in partner meetings                       | 4% 10%           | 35%                 | 51%                           |
| 10. It is clear what benefits IFAD wishes to gain from the partnership                       | 2% 13%           | 46%                 | 38%                           |
| 11. IFAD shows respect for the specific needs and interests of each partner institution      | 6% 8%            | 48%                 | 38%                           |
| 12. IFAD is prepared to be flexible in achieving common objectives                           | 8% 2%            | 55%                 | 35%                           |
| 13. IFAD fulfils its commitments to the partnership in a timely manner                       | 8% 16%           | 36%                 | 40%                           |

Note: Percentages may contain minor inconsistencies due to rounding.

Annex I

## **Results Measurement Framework**

The goal of the Partnership Strategy is to enable more rural people to move out of poverty through more selective use and effective management of partnerships.

| Objectives   | Indicators  | Means of verification  |  |
|--|---|--|--|
| IFAD strategic and selective in its partnerships<br>IFAD develops and manages partnerships effectively and<br>efficiently<br>IFAD becomes a partner of choice for others<br>Through its partnerships, IFAD assists other rural<br>development stakeholders to become more relevant,<br>effective and efficient | Numbers of partnerships in each partnership category (para.46)<br>and not corresponding to partnership categories<br>Numbers of partnerships responding to identified priorities<br>(paras. 47-52) and not doing so<br>Scores of reviewed partnerships<br>How partners rate IFAD's partnership performance<br>Complementary/supplementary resources mobilized<br>Levels of project cofinancing<br>Performance and impact of IFAD-supported projects | PRM data<br>Partnership reviews<br>Annual client survey/regular partnership surveys<br>Hits on the partnerships pages of IFAD website<br>Report on IFAD's Development Effectiveness<br>Project Completion Reports/Annual Report on Results an<br>Impact of IFAD Operations |  |
| Action area  |   |  |  |
| Assessment of potential partners   | Partnership assessment checklist developed  | Partnership assessment tool  |  |
| Legal framework for partnerships   | Model for formal partnering agreements drawn up and used  | Model for formal partnering agreements; formal agreements drawn up   |  |
| Effective management of partnerships   | Scorecard for reviewing existing partnerships developed   | Scorecard; reviews of partnerships and performance   |  |
| Knowledge management   | Learning function established in PRM and knowledge shared across the organization   | PRM reports  |  |
| Internalize partnerships in IFAD's business processes  | Opportunities to internalize partnership in business processes reviewed   | Modified business processes  |  |
| Upgrade staff capacity and skills  | Staff trained in partnership-related skills   | Partnering qualifications  |  |
| Communication for partnerships   | IFAD website upgraded to reach out to partners  | IFAD website   |  |
| Define institutional responsibilities for partnerships   | All units clear about how they contribute to partnership strategy   | Corporate Planning and Performance Management System   |  |

## SUPPLEMENTARY FUND AGREEMENTS

As of 31 December 2011

|                              | Thematic and Geographic Focus  | Dates of<br>Agreement        | Contribution<br>under Agreement<br>(US\$) | Received under<br>Agreement<br>(US\$) |
|------------------------------|--|------------------------------|---|---------------------------------------|
| (a) DONOR Flexibl            | e use  |                              |   |                                       |
| Finland                      | Provide support to prepare and implement<br>IFAD VIII (EUR 1.5 million)  | 6 Jun. 2009<br>5 Jun. 2012   | 1 971 091                                 | 137 959                               |
| Italy                        | <ul> <li>market access, capacity building, farmers<br/>associations</li> </ul>   | 12 Nov. 2001<br>12 Nov. 2016 | 9 671 275                                 | 9 671 275                             |
|                              |  | Sub-Total                    | 11 642 366                                | 9 809 234                             |
| (b) DONOR Cofina             | ncing  |                              |   |                                       |
| Belgium BSF-<br>Rwanda       | Cofinancing contribution to the Support Project<br>for the Strategic Transformation of Agriculture<br>– PAPSTA, for EUR 4 million                              | 12 Dec. 2008<br>12 Dec. 2013 | 5 256 242                                 | 2 520 300                             |
| Denmark-<br>Moldova          | Cofinancing to the Youth Entrepreneurship<br>Financing Sub-Component under the Rural<br>Financial Services and Agribusiness Dev.<br>Project for DKK 26,999,816 | 9 Dec. 2010<br>30 Jun. 2014  | 4 784 000                                 | C                                     |
| Denmark-<br>Armenia          | Cofinancing to the Farmer Market Access<br>Programme (DKK 10,000,000)  | 29 Dec. 2008<br>29 Dec. 2012 | 3 000 000                                 | 530 757                               |
| Denmark-<br>Armenia 2        | Cofinancing Rural Assets Creation Programme<br>(RACP) in Armenia (DKK 24,685,000)  | 30 Nov 2011<br>30 June 2015  | 4 392 349                                 |                                       |
| Italy -<br>Viet Nam          | Pilot Project for Poverty Reduction in Gia Lai<br>Province in the Socialist Republic of Viet Nam   | 16 Dec. 2008<br>16 Dec. 2012 | 1 400 600                                 | 1 055 026                             |
| Italy-<br>Iran               | Cofinancing grant to the Rural Microfinance<br>Support Project (Phase III)   | 5 Dec. 2005<br>5 Dec. 2011   | 543 540                                   | 1 113 540                             |
| Netherlands -<br>Bangladesh  | To support Market Infrastructure Dev. Project<br>in Charlands Bangladesh   | 1 Sept. 2006<br>30 Aug. 2012 | 5 875 000                                 | 1 047 760                             |
| Netherlands -<br>South Sudan | Cofinancing to the Southern Sudan<br>Livelihoods Development Project   | 16 Jul. 2009<br>31 Dec. 2014 | 9 550 000                                 | 3 180 000                             |
| Netherlands -<br>Sudan       | Cofinancing the Sudan-South Kordofan Rural<br>Development Programme<br>Agreement for EUR 6.9 million   | 8 Dec. 2009<br>31 Dec 2014   | 9 072 273                                 | 3 918 918                             |
| Ireland -<br>Tanzania        | Cofinancing to MUVI-Tanzania   | 12 Oct 2001<br>30 Jun. 2010  | 1 044 607                                 | 1 044 607                             |
| Finland - Zambia             | Cofinancing Smallholder Production Promotion<br>Programme-S3P (EUR 5.5 million)  | 13 Dec 2011<br>2015          | 7 227 332                                 | 1 576 873                             |
| OFID-Somalia                 | Cofinancing grant for Food Security for<br>Pastoralist Programme to be implemented in<br>conjunction with the NWICDP II  | 15 Feb. 2012<br>2015         | 1 500 000                                 | 600 000                               |
|                              |  | Sub-Total                    | 53 645 943                                | 16 587 780                            |

| (d) DONOR Specif      | ic themes   |                                       |           |            |
|-----------------------|---|---------------------------------------|-----------|------------|
| Canada                | • Support to IOE: originally for IEE, then reallocated to AfDB-IFAD joint evaluation; to support the 2011 Corporate-level gender evaluation and the CLE on Efficiency in 2012 | 31 Mar. 2004<br>31 Dec. 2012          | 228 224   | 228 224    |
| Canada - LEWI         | Gender: Women Legal Empowerment   | 27 Jun. 2008<br>31 Dec. 2012          | 986 369   | 986 369    |
| Luxembourg            | Migrant Remittances System     Africa   | 15 Dec. 2011<br>31 Dec. 2013          | 2 161 619 | 1 110 371  |
| France                | <ul> <li>Renegotiated in 2010 for NRM and Food<br/>Security Seminar at FAO (originally: PRSP,<br/>NEPAD and cooperation North-South; support<br/>to WCA)</li> </ul>           | 30 Jan. 2004<br>NA                    | 829 951   | 829 951    |
| WB-IBRD GAFSP         | To support IFAD to design and supervise two<br>GAFSP programmes in Sierra Leone (US\$19<br>million) and Togo (US\$3.8 equivalent )  | Nov. 2010<br>n.a.                     | 3 800 000 | 22 800 000 |
| Italy - RURALFIN      | <ul><li> Rural Finance activities</li><li> Asia and Africa</li></ul>  | 9 May 2005<br>8 May 2012              | 6 104 250 | 6 104 250  |
| Italy - Balkans       | <ul> <li>Originally Farmers' Access to Markets in the<br/>Balkans. Remaining US\$62,000 budget under<br/>negotiation</li> </ul>   | Under<br>negotiation for<br>amendment | 3 632 250 | 3 632 250  |
| Japan WID             | Women-in Development Activities   | 14 Nov. 1995<br>no expiry             | 5 823 151 | 5 823 151  |
| Norway                | •Gender<br>• Sub-Saharan Africa   | 19 Oct. 1998<br>no expiry             | 4 835 344 | 4 835 344  |
| Norway                | Gender coordinators Programme NOK 5 million   | 8 Dec. 2008<br>8 Jun. 2011            | 724 323   | 724 323    |
| Norway                | Women Leadership Programme NOK 5 million  | 8 Sept. 2009<br>8 Mar. 2012           | 800 000   | 800 000    |
| Spain- LAC; NEN       | Remittances and Human Rights  | 15 Feb. 2008<br>27 Nov. 2013          | 2 630 500 | 2 630 500  |
| Spain-FFR             | Financing Facility for Remittances  | 15 Feb. 2008<br>12 Nov. 2014          | 8 463 900 | 8 463 900  |
| Spain - GECC          | <ul> <li>Support to rural people to respond to the<br/>effect of climate change</li> </ul>  | 15 Oct. 2008<br>12 Nov. 2011          | 1 878 357 | 1 878 357  |
| Spain - Brazil        | <ul> <li>Gestión de Conocimiento en Zonas<br/>Semiáridas de Nordeste de Brasil<br/>EUR 3.5 million</li> </ul>   | 17 Dec 2009<br>3 Jan 2014             | 5 053 475 | 5 053 475  |
| Sweden                | Support IFAD's reform for efficiency  | 14 Dec 2001<br>30 Apr 2012            | 1 641 813 | 1 641 813  |
| Sweden -Sida -<br>IOE | Support to efficiency corporate evaluation  | 31 Dec 2012<br>1 Mar 2011             | 100 000   | 100 000    |
| Swiss -IOE            | • Phase Three of the Partnership on<br>Development Effectiveness through Evaluation<br>1,500,000 CHF (CHF300,000 or US\$258,871<br>received)                                  | 1 Apr. 2009<br>31 Dec. 2012           | 1 293 905 | 413 366    |
| Swiss-SSA             | <ul> <li>Support to: IFAD's RPR; Country Office in<br/>Burkina Faso; and WCA regional cassava<br/>Programme (originally Sub-Saharan Africa)</li> </ul>                        | 18 Jun. 1996;<br>31 Dec. 2012         | 8 765 038 | 8 765 038  |
| Swiss-Land<br>Tenure  | FAD-contribution to Community Investor<br>Partnerships Project in Mozambique (2009-<br>2012)  | 29 Jan. 2009;<br>31 Dec. 2012         | 191 427   | 191 427    |

|   |  | GrandTotal                   | 302 332 356 | 176 335 989 |
|---|--|------------------------------|-------------|-------------|
|   |  | Sub-Total                    | 175 151 507 | 175 151 507 |
| Coopernic   | To improve the management of water for<br>intensified cropping activities with MIS and<br>liquid organic fertilizers (EUR 3 million) | 29 Dec. 2008<br>31 Dec. 2011 | 3 680 855   | 3 680 855   |
| European Union- TAF (EUR 10 million)<br>AF        |  | 11 May 2011<br>11 May 2016   | 13 140 604  | 289 19      |
| European Union-<br>SFOAP                          |  |                              | 7 049 934   | 4 730 61    |
| European Union-<br>Food Security                  | Food Facility WCA ECOWAS   | 22 July 2010<br>22 Oct. 2012 | 26 281 209  | 20 823 91   |
| European Union<br>- Eritrea                       | Post-crisis rural recovery and development in<br>Eritrea   | 1 Sept. 2009<br>1 Sept. 2012 | 6 176 084   | 3 497 13    |
| European Union-<br>Food Security                  |  |                              | 6 252 102   | 4 601 63    |
| European Union-<br>Food Security                  |  |                              | 19 052 277  | 11 986 36   |
| European Union-<br>Food Security                  | Food Facility-Programme supporting resilience to FS in <b>Madagascar</b> (PARECAM)   | 12 Jun. 2009<br>1 Oct 2011   | 16 425 756  | 10 038 93   |
| European Union-<br>CGIAR                          | Consultative Group for International<br>Agriculture Research IV (EUR 32 million)   | Dec 2011                     | 42 224 000  |             |
| European Union-<br>CGIAR                          | CGIAR-CIP (EUR 5 million)<br>Strengthening Pro-poor Agriculture Innovation<br>for Food security in Andean Region                     | 20 Dec 2010<br>15 Jun. 2014  | 6 570 302   | 1 386 42    |
| European Union-<br>CGIAR                          | Consultative Group for International<br>Agriculture Research III (EUR 17.5 million)  | 22 July 2010<br>1 Jun. 2013  | 22 996 058  | 6 343 15    |
| European Union- Migrant Remittances System<br>FFR |  | 29 Dec. 2005<br>31 Aug. 2013 | 5 302 325   | 3 599 99    |
| Agreements signed<br>exchange rate of 0.          | in Euro which US\$ equivalent has been calculated<br>761   | d at US\$/EUR                |             |             |
|   |  | Sub-Total                    | 61 772 540  | 78 960 75   |
| Swiss-SFOAP                                       | bridging phase SFOAP pilot to main phase   | 25 Apr. 2012<br>30 Nov. 2012 | 120 000     | 120 00      |
| Swiss-FF 2012                                     | Support to the Farmers' forum 2012<br>(CHF 180,000)  | 15 Dec. 2011<br>15 Dec. 2012 | 191 521     | 191 52      |
| Swiss-Water and<br>Irrigation                     | IFAD Improving projects in water sector (CHF 1.7 million)  | 6 Dec. 2010<br>30 Jun. 2012  | 1 637 122   | 1 637 12    |

# AGREEMENTS BETWEEN IFAD AND OTHER ORGANIZATIONS <sup>1</sup>

As of 31 December 2011

|     | Institution/<br>Organization  | Acronym         | Form of Cooperation   | Date                   |
|-----|---|-----------------|---|------------------------|
| 1.  | African Development<br>Bank   | AfDB            | Cooperation Agreement IFAD/AfDB   | 25-07-197              |
|     |   |                 | Cooperation Agreement AFDB/IFAD   | 18-05-198              |
|     |   |                 | Memo of Understanding IFAD/ADB/African Dev. Fund  | 13-02-200              |
|     |   |                 | Accord multi-donateurs de don a la Banque Africaine de<br>Développement et au Fonds Africain de Développement<br>pour l'initiative migration et développement | 23-10-200              |
| 2.  | African Export-Import<br>Bank   | AFREXIM<br>BANK | Cooperation Agreement IFAD/AFREXIM  | 22-07-199              |
| 3.  | African Regional Center for Technology  | ARCT            | Cooperation Agreement   | 26-09-199              |
| 4.  | Alliance for a Green<br>Revolution in Africa                                    | AGRA            | Memo of Understanding between AGRA and African<br>Enterprise Challenge Fund (AECF) Donors<br>Memo of Understanding between IFAD, FAO, WFP and<br>AGRA         | 08-04-200<br>04-06-200 |
| 5.  | Andean Development<br>Corporation   | CAF             | Cooperation Agreement   | 27-07-198              |
| 6.  | Arab Fund for Economic and Social Development                                   | AFESD           | Agreement   | 31-10-198              |
| 7.  | Arab Authority for<br>Agricultural Investment<br>and Development                | AAAID           | Cooperation Agreement   | 24-11-200              |
|     |   |                 | Management Agreement IFAD/AAAID   | 26-08-200              |
| 8.  | Arab Bank for Economic<br>Develop. in Africa                                    | BADEA           | Cooperation Agreement (English/Arabic)  | 30-08-198              |
| 9.  | Arab Organization for<br>Agricultural<br>Development                            | AOAD            | Agreement   | 15-06-198              |
| 10. | Asian Development<br>Bank   | AsDB            | Cooperation Agreement IFAD/ADB  | 11-04-197              |
|     |   |                 | Memo of Understanding of working arrangements between ADB/IFAD  | 15-06-199              |
|     |   |                 | Addendum to Memo of Understanding on strategic<br>partnerships for implementation of UNCCD in Central Asian<br>Republics                                      | 23-10-200              |
|     |   |                 | Asia and the Pacific Regional Food Security Framework<br>Agreement ADB/FAO/IFAD   | 27-09-201              |
| 11. | Banco de Desarollo<br>Económico y Social<br>(Bolivian Republic of<br>Venezuela) | BANDES          | Convenio marco de cooperación interinstitucional entre el<br>BANDES y el FIDA   | 11-09-200              |
| 12. | Bill and Melissa Gates<br>Foundation  |                 | Countersigned Agreement, IFAD-WFP Weather Risk<br>Management Facility   |                        |
| 13. | Caribbean Development<br>Bank   |                 |   | 13-10-198              |

<sup>&</sup>lt;sup>1</sup> Excludes supplementary funding agreements, loan/financing agreements and grant agreements.

| 14. | Central American Bank<br>Economic Integration  | BCIE   | Acuerdo de Cooperación  | 02-09-1982  |
|-----|--|--|---|---|
| 15. | Consultative Group on<br>International<br>Agricultural Research  | ernational Agriculture between ILO/FAOIFAD/IFAP/IFPRI/CGIAR/IUF              |   | 12-06-2007  |
| 16. | Commonwealth<br>Secretariat  | COOS   | Memorandum of Understanding   | 21-03-1983  |
| 17. | Community of<br>Portuguese Speaking<br>Countries   | CPLP   | Agreement between CPLP/IFAD on rural development<br>(Eng./Port.version)   | 08-11-2007  |
| 18. | DSEL-ZEL (German<br>Foundation for<br>International<br>Development Centre<br>for Food, Rural<br>Development and the<br>Environment)                        | DSEL-ZEL   | Letter of understanding   | 31-07-2002  |
| 19. | Deutsche Gesellschaft<br>für Technische<br>Zusammenarbeit  | GTZ  | Cooperating Agreement   | 14-09-2004  |
| 20. | European Economic<br>Community   | EC   | Financial and administrative framework Agreement EC/UN<br>Agreement between EC/IFAD   | 29-04-2003<br>27-09-2004  |
| 21. | Financial Fund for the<br>Development of the<br>Plata Basin  | FONPLATA   | Agreement   | 11-07-1986  |
| 22. | Food and Agricultural<br>Organisation of the<br>United Nations   | FAO  | Memorandum of Understanding FAO/IBRD/IFAD<br>Protocol of Joint Agreement IFAD/FAO<br>Copublishing Agreement FAO/IFAD<br>Statement of Intent<br>Programmatic Cooperation on Food Security and Nutrition<br>between EC/FAO/WFP/IFAD | 29-03-1974<br>18-03-1999<br>10-2000<br>29-06-2011<br>19-09-2011 |
| 23. | Former FAO and other<br>UN staff association   | FFOA   | Cooperation Agreement   | 15-05-2003  |
| 24. | Global Alternative<br>Energy Foundation  | GAEF   | Framework Agreement   | 25-09-2009  |
| 25. | Global Environment<br>Facility   | GEF  | Memo of Understanding   | 29-04-2005  |
| 26. | Government of<br>Netherland  |  | Memorandum of understanding on the arrangement<br>between the minister of foreign affairs of the kingdom of<br>the Netherlands and the IFAD   | 03-09-2010  |
| 27. | Inter-American<br>Development Bank   | IB   | Memorandum of Understanding   | 26-04-2004  |
| 28. | Inter-American<br>Institute for<br>Cooperation on<br>Agriculture   | forInteragencial de Desarollo Rural en America Latina y elion onCaribe entre |   | 03-10-2000  |
| 29. | International Bank for<br>Reconstruction and<br>DevelopmentIBRDFinancial Procedure Agreement<br>Agreement for the provision of technical advisory services |  | 18-11-2009<br>28-04-2010  |   |
| 30. | International<br>Development Research<br>Centre  | IDRC   | Memo of Understanding   | 29-10-1996  |

| 31. | International Food<br>Policy Research<br>Institute  | IFPRI                                    | Cooperation Agreement   | 09-07-200                           |
|-----|---|--|---|-------------------------------------|
| 32. | International Labour<br>Organisation  | <b>J</b>                                 |   | 06-12-197                           |
| 33. | Coalition Council of the<br>International Land<br>Coalition   | rnational Land                           |   | 12-2008                             |
| 34. | Inter Press Service   | IPS                                      | Letters of Cooperation  | 13-11-198<br>15-12-198              |
| 35. | Islamic Development<br>Bank   | IsDB                                     | Cooperation Agreement IsDB/IFA<br>Framework co-financing agreement  | 03-05-197<br>13-02-201              |
| 36. | Islamic Educational<br>Scientific and Cultural<br>Organization  | ISESCO                                   | Cooperation Agreement ISESCO/IFAD (Eng./Fr./Arabic)   | 03-07-199                           |
| 37. | Japan International<br>Cooperation Agency   | JICA                                     | Memorandum of Understanding Between IFAD and Japan<br>International Cooperation Agency (JICA)   | 19-10-201                           |
| 38. | Joint United Nations<br>Programme on<br>HIV/AIDS  | UNAIDS                                   | Memorandum of Understanding for a cooperation framework between IFAD/UNAIDS   | 27-09-200                           |
| 39. | League of Arab States   | LAS                                      | Cooperation Agreement LAS/IFAD (English/French/Arabic)  | 29-06-199                           |
| 40. | New partnership for<br>Africa's Development   | NEPAD                                    | Memorandum of Understanding IFAD/NEPAD  | 28-06-200                           |
| 41. | Organization of African<br>Unity  | OIAU                                     | Cooperation Agreement   | 25-06-198                           |
| 42. | Organization of Islamic<br>Cooperation  | OIC                                      | Cooperation Agreement OIC/IFAD (English/Arabic)   | 22-10-198                           |
| 43. | OPEC Fund for<br>International<br>Development   | OFID                                     | Memorandum of Understanding between<br>IFAD/ADB/IBRD/OPEC Fund concerning NENAMTA<br>Framework agreement for the enhancement of cooperation<br>and the direction of joint operations between IFAD and<br>OFID | 24-07-199<br>03-12-201              |
| 44. | University of Rome, La<br>Sapienza  |  | Fellowship Agreement  | 19-07-201                           |
| 45. | United Nations  |  |   | 06-04-197<br>10-03-200              |
| 46. | United Nations<br>Administrative Tribunal   | UNAT                                     | Special agreement extending the jurisdiction of the UNAT to IFAD  |                                     |
| 47. | United Nations Capital<br>Development Fund  | UNCDF                                    | Amendment to the MOU between UNCDF/IFAD   |                                     |
| 48. | United Nations<br>Children's Fund   | UNICEF Memo of Understanding IFAD/UNICEF |   | 28-04-200                           |
| 49. | Conference of the<br>Parties of the United<br>Nations Convention to<br>Combat DesertificationCOP/<br>UNCCDModalities and Administrative Operations of the Global<br>Mechanism |  | 26-11-199   |                                     |
| 50. | United Nations<br>Development<br>Programme  | UNDP                                     | Memo of Understanding<br>Memo of agreement Italy/UNDP/UNOPS to Bosnia &<br>Herzegovina  | 06-04-197<br>22-07-199<br>12-04-200 |

|     |   |                    | Agreement UNDP/IFAD  | 30-09-20             |
|-----|---|--------------------|--|----------------------|
|     |   |                    | Framework Agreement UNDP/IFAD  |                      |
| 51. | United Nations<br>Development Fund for<br>Women                             | UNIFEM             | Memo of Understanding  | 18-02-20             |
| 52. | United Nations Fund for   | UNFIP              | Basic implementation Agreement IFAD/UNFIP  | 12-02-20             |
|     | International<br>Partnerships   |                    | Microfinance's contribution to women's empowerment and health project  | 24-12-20             |
| 53. | United Nations High<br>Commissioner for<br>Refugees                         | UNHCR              | Agreement  | 10-06-19             |
| 54. | United Nations Human<br>Settlement Programme<br>(ex UNCHS)                  | UNHSP<br>(HABITAT) | Memo of Understanding  |                      |
| 55. | United Nations<br>Industrial Development<br>Organization                    | UNIDO              | Relationship Agreement IFAD/UNIDO  | 05-06-19             |
| 56. | United Nations<br>Department of<br>Technical Cooperation<br>for Development | UNDTCD             | Cooperation agreement  | 23-05-19             |
| 57. | United Nations<br>Population Fund   | UNFPA              | General Cooperation Agreement  | 18-06-20             |
| 58. | United Nations Office<br>for Project Services                               | UNOPS              | Revised Cooperation Agreement  | 03-06-20             |
| 59. | United Nations Dag<br>Hammarskjold Library)                                 | UNDHL              | Memorandum of agreement  | 08-12-20             |
| 60. | University of Rome, La<br>Sapienza  |                    | Fellowship Agreement   | 19-07-20             |
| 61. | West African  | BOAD               | Prestation de services   | 17-12-19             |
|     | Development Bank  |                    | Accord de coopération FIDA/BOAD  | 13-12-19             |
| 62. | World Bank  | WB                 | Letter of agreement  | 18-06-19             |
| 63. | World Food Programme  | WFP                | Memo of Understanding between IFAD and WFP for operational partnership in Asia region  | 21-01-20             |
|     |   |                    | Memorandum of Understanding between WFP, IFAD and<br>Gapi - Sociedade de Investimento, S.A. regarding The<br>Management of the Guarantee Fund under the Joint<br>Programme | 14-04-20             |
| 64. | World Health<br>Organization  | WHO                | Cooperation Agreement (French & English) with exchange letters   | 18-07-19<br>22-01-19 |
|     |   |                    | Supplementary agreement IFAD/WHO   | 10-07-20             |
|     |   |                    | Supplementary agreement  | 07-05-19             |
|     |   |                    | MOU WHO-UNICEF-IFAD-UNDP   |                      |
| 65. | Worldview<br>International<br>Foundation                                    | WIF                | Letter of understanding WIF/IFAD   | Dec.1989             |
| 66. | World Meteorological<br>Organization  | WMO                | IFAD/WMO exchange of letters   | 05-01-19             |