Report on the Ninth Replenishment of IFAD’s Resources

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For: Information
Report on the Ninth Replenishment of IFAD’s Resources

1. **Adoption.** Resolution 166/XXXV on the Ninth Replenishment of IFAD’s Resources was adopted by the Governing Council on 22 February 2012. The period of the Ninth Replenishment is defined in the resolution as “the three-year period commencing 1 January 2013”.

2. **Additional pledges.** Pledges made as at the date of adoption of the resolution, i.e. 22 February 2012, amounted to US$856,258,996, including complementary contributions. As at 22 August 2012, pledges amounted to US$1,403,713,444, including complementary contributions. The status of pledges to the Ninth Replenishment as at 22 August 2012 is set out in annexes I and II.

3. **Target.** Paragraph I(b) of resolution 166/XXXV established the target level of the Ninth Replenishment at US$1.5 billion. Paragraph I(e) of resolution 166/XXXV specified that the structural gap between total pledges and the target level may not exceed fifteen per cent (15 per cent). As indicated in paragraph 2 above, and as set forth in annexes I and II, pledges as at 22 August amounted to US$1,403,713,444, corresponding to 94 per cent of the US$1.5 billion target. Accordingly, the President of IFAD has communicated to all Members of the Fund that the target level of the Ninth Replenishment is confirmed at US$1.5 billion.

4. **Creation of new votes.** Under the voting system introduced on 20 February 1997 by resolutions 86/XVIII and 87/XVIII, new votes are created for each replenishment. These votes are allocated on a basis similar to the original and replenishment votes and are divided between membership and contribution votes. Resolution 166/XXXV provided that votes for the Ninth Replenishment would be calculated on the basis of aggregate pledges received within the six months following the resolution’s adoption on 22 February 2012, i.e. by 22 August 2012.

5. Of the total pledges of US$1,403,713,444 received as at 22 August, pledges amounting to US$357,958,776 were in the form of complementary contributions, pledged in accordance with paragraph III(a)(ii) of resolution 166/XXXV, and thus do not count towards the creation of votes. This results in a balance of US$1,045,754,668 for the creation of Ninth Replenishment votes.

6. Under the formula stipulated in article 6, section 3(a)(ii) of the Agreement Establishing IFAD, 100 replenishment votes are created for every US$158 million of convertible currency contributions pledged. Thus, for the Ninth Replenishment, the amount of US$1,045,754,668 creates 661.870 replenishment votes, which are broken down into membership votes and contribution votes. The total of the Ninth Replenishment votes is fixed and will not be altered by any change in the membership of the Fund or further pledges to the Ninth Replenishment.

7. The membership and contribution votes became effective as from 22 August 2012. A table containing the calculation of membership and contribution votes, including Ninth Replenishment votes, is attached hereto as annex III. Although the number of Ninth Replenishment votes is fixed at a total of 661.870, the distribution of contribution votes among Member States will change as payments of contributions are received. Therefore, all Member States contributing to the Ninth Replenishment, including those announcing their pledges after 22 August 2012, will receive a share of the Ninth Replenishment contribution votes in proportion to their respective share of paid-in contributions to the replenishment. Annex III presents the voting rights of Member States as at 22 August 2012.

8. **Status of contributions.** Annexes I and II set out the status of pledges, instruments of contribution deposited, and payments in cash and promissory notes for the Ninth Replenishment as at 22 August 2012.
9. As at 22 August 2012, pledges to the Ninth Replenishment amounted to US$1,403,713,444 equivalent, corresponding to 94 per cent of the Ninth Replenishment target of US$1.5 billion. Member States that have not yet pledged and those intending to increase their contribution are being urged to communicate their pledges to the Fund as soon as possible.

10. In accordance with paragraph V(a) of resolution 166/XXXV, the Ninth Replenishment will become effective on the date “upon which the Instruments of Contribution relating to the additional contributions and special contributions from States referred to in section III of this resolution [166/XXXV] have been deposited with or received by the Fund in an aggregate amount equivalent to at least fifty per cent of the pledges as communicated by the President to Members pursuant to section I(e).” There are Members that make direct payments without depositing an instrument of contribution. This is in accordance with article 4.5(c) of the Agreement Establishing IFAD. As at 22 August 2012, instruments of contribution and payments amounted to US$368,036,459 or 26 per cent of the total pledges of US$1,403,713,444. Member States are urged to deposit instruments of contribution as soon as possible so that the replenishment may become effective.

11. As at 22 August 2012, advance payments for the Ninth Replenishment had been received from Member States in an amount totalling US$62.2 million equivalent, or 4 per cent of pledges.
Summary of the status of contributions to the Ninth Replenishment
As at 22 August 2012

<table>
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<tr>
<th>Contributions excluding complementary contributions</th>
<th>Complementary contributions</th>
<th>Total contributions, including complementary contributions</th>
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<tr>
<td>US$ equivalent</td>
<td>Percentage of pledges</td>
<td>US$ equivalent</td>
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<td>Total pledges</td>
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**Instruments of contribution**

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<tr>
<th>Description</th>
<th>US$ equivalent</th>
<th>Percentage of pledges</th>
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<td>Instruments of contribution deposited</td>
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<tr>
<td>Cash payments not supported by instruments of contribution</td>
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<td>20 347 514</td>
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<tr>
<td>Promissory note payments not supported by instruments of contribution</td>
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Total instruments of contribution, including payments in cash and promissory notes not supported by instruments of contribution | 347 638 945 | 33 | 20 347 514 | 6 | 368 036 459 | 26 |

**Payments received**

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<th>US$ equivalent</th>
<th>Percentage of pledges</th>
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<tr>
<td>Promissory notes received</td>
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</table>

Total payments received | 41 888 052 | 4 | 20 347 514 | 6 | 62 235 566 | 4 |

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* Percentages calculated on the basis of pledges as at 22 August 2012.

b Payment of contribution without the deposit of an instrument of contribution also counts, in practice, as the deposit of an instrument of contribution for the amount paid.
### Status of Member States’ contributions to the Ninth Replenishment

#### As at 22 August 2012

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<th>Amount</th>
<th>US$ equiv. ¹</th>
<th>Date</th>
<th>US$ equiv. ²</th>
<th>Payment of instalments</th>
<th>Date</th>
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## Status of Member States’ contributions to the Ninth Replenishment

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### Status of Member States’ contributions to the Ninth Replenishment

#### As at 22 August 2012

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**Notes:**
- USD equiv. = US dollar equivalent
- Payments as percentage of total contributions
- Promissory note
- Payment of instalments
- Cash
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Note: US$ equiv. = US$ equivalent; Promissory note = Promissory note; Cash = Cash; Date = Date of payment; Payments as percentage of total contributions = Payments as percentage of total contributions; Payment of instalments = Payment of instalments.
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### Status of Member States’ contributions to the Ninth Replenishment

As at 22 August 2012

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Note: US$ equiv. stands for US$ equivalent.
### Status of Member States’ contributions to the Ninth Replenishment

**As at 22 August 2012**

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<th>Currency</th>
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<th>Deposit of instrument of contribution</th>
<th>Payments as percentage of total contributions b</th>
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a For the purpose of this report, the term “pledge” includes (i) an explicit expression of a Member’s intention to contribute to the resources of the Fund and (ii) direct payments received by the Fund without the prior announcement of a pledge.

b The following abbreviations are used for currencies:
- CAD: Canadian Dollar
- GBP: Pound Sterling
- SDR: Special Drawing Right
- XOF: CFA Franc
- CHF: Swiss Franc
- JPY: Japanese Yen
- SEK: Swedish Krona
- DKK: Danish Krone
- NOK: Norwegian Krone
- US$: United States Dollar
- EUR: Euro
- NZD: New Zealand Dollar
- XAF: CFA Franc

c Amount is converted into United States dollars by applying the average exchange rate, as described in section III(g) of Governing Council resolution 166/XXXV.

d Germany’s contribution depends on the following: (i) subject to entry into force of the 2012 federal budget law and parliamentary approval; (ii) the unit of obligation will be decided by the German Government at the time of deposit of its instrument of contribution at the latest; (iii) the amount of US$70 million is capped however at a maximum of the US$ equivalent of EUR 52.39 million at the official exchange rate on the day of commitment.

f Subject to ministerial approval.

h Based on 4.84 per cent of IFAD9 target and subject to cabinet approval.

i Belgium’s pledge includes a core contribution of EUR 24 million and complementary contribution of EUR 6 million for the Adaptation for Smallholder Agriculture Programme.

j Subject to formal approval by the Government.

k In 1992, the Socialist Republic of Yugoslavia was dissolved and its membership with the Fund terminated ipso facto. None of the republics of the former Yugoslavia were permitted to succeed to or continue the membership of Yugoslavia in IFAD. No contribution or payment was due by IFAD to Yugoslavia at the time of its dissolution, nor by Yugoslavia to IFAD.

l Canada’s pledge includes a core contribution of CAD 75 million and complementary contribution of CAD 19.8 million for the Adaptation for Smallholder Agriculture Programme.

m United Kingdom’s pledge includes a core contribution of GBP 51.1 million and complementary contribution of GBP 150 million for the Adaptation for Smallholder Agriculture Programme.

n The Netherlands’ pledge includes a core contribution of US$75.0 million, subject to parliamentary approval. The Netherlands is considering a complementary contribution pledge of up to a maximum value of EUR 60 million for the Adaptation for Smallholder Agriculture Programme.
### Voting rights of Member States as at 22 August 2012

<table>
<thead>
<tr>
<th>Member State</th>
<th>Total Original, Fourth, Fifth, Sixth, Seventh and Eighth Replenishment votes</th>
<th>Ninth Replenishment votes created as per pledges (to core contributions) received as at 22 August 2012</th>
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### Voting rights of Member States as at 22 August 2012

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### Voting rights of Member States as at 22 August 2012

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*Indicative votes are calculated on the basis of Member States’ announced pledges to the Ninth Replenishment.

Actual votes are distributed on the basis of Member States’ paid contributions to the Ninth Replenishment.

The actual total votes shown here are subject to change as countries complete their payments to IFAD’s replenishments.

Any discrepancies in figures are due to rounding.